



# Extremely Low-Noise Cryogenic Amplifiers for Radio Astronomy: Past, Present and Future

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Acknowledgment: All the past and present members of the CDL Amplifier Group.



# Outline

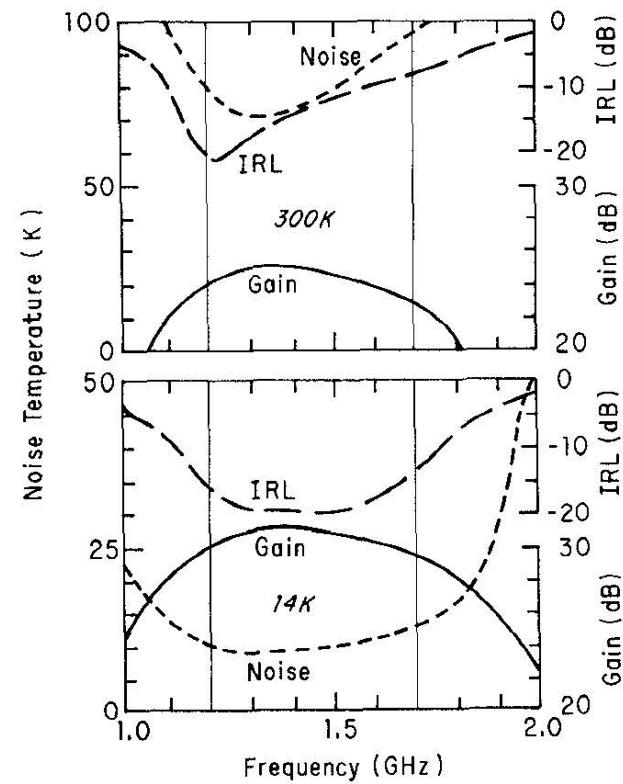
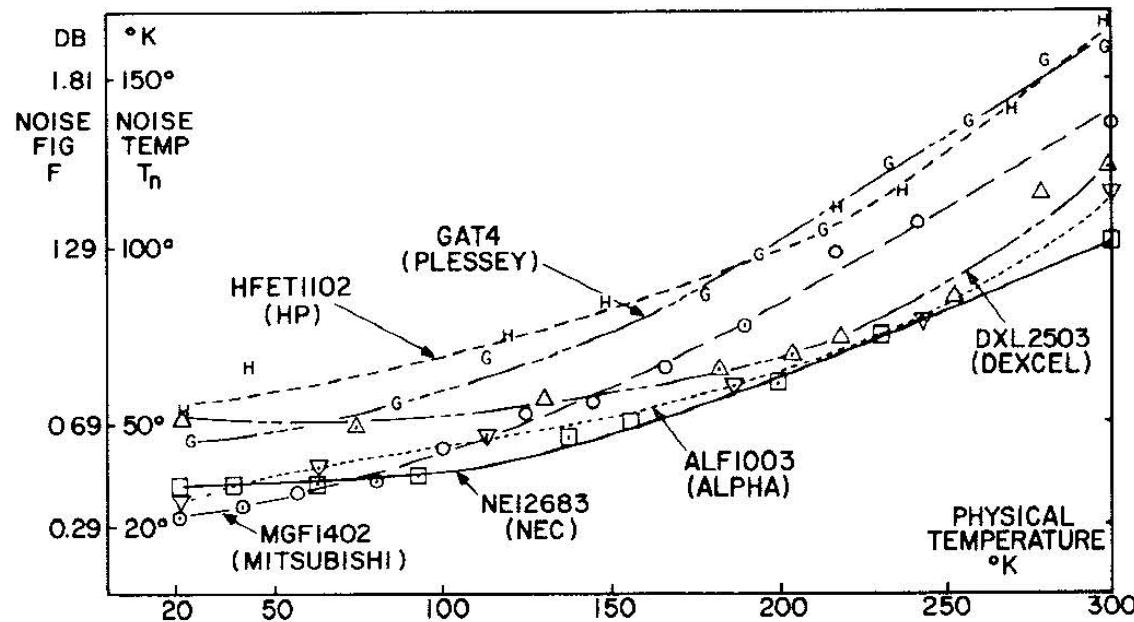
- Short history: important points in the development of cryogenic amplifiers at NRAO
- Review of the state-of the-art of cryogenic amplifiers with InP HFETs and SiGe HBTs.
- Cryogenic transistors and amplifiers: understanding of noise performance
  - Noise models; accuracy of model predictions
  - Dependence on bias, optimal noise bias: “quality of pinch-off”
  - Dependence on ambient temperature
  - Broadband noise matching
- On the limits of achievable noise performance of microwave transistors

# A Short History of FET's

- 1952 - Junction FET (JFET), Shockley (BTL)
- 1966 - Schottky Gate FET (MESFET), Mead (Caltech)
- 1967 - MESFET on GaAs, Hooper and Lehrer (Fairchild)
- 1970 - Prediction of carrier accumulation at heterointerface, Esaki and Tsu (IBM)
  - First cryogenic experiments, Loriou *et al* (France NTC), 120 K at 1 GHz
- 1976 – Cryogenic experiments at X-band ,Liechti *et al.* (HP), 60 K at 12 GHz
- 1978 – Mobility enhancement in GaAs/AlGaAs demonstrated, U.S. Patent for HFET (HEMT, TEGFET, MODFET, SDHT), Dingle *et al.* (BTL)

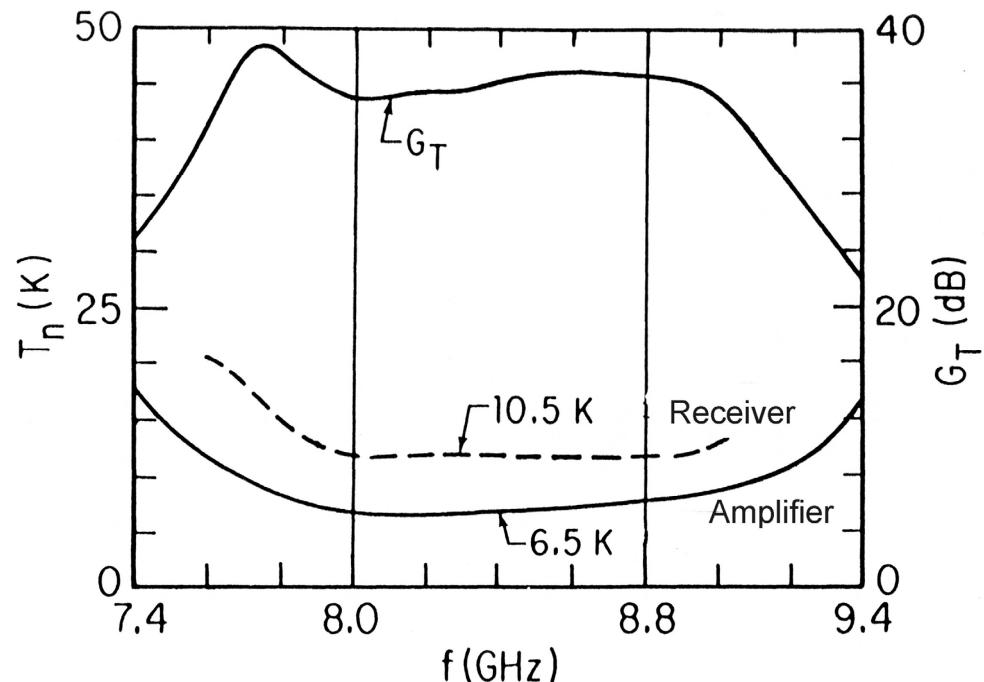
## A Short History of FET's (2)

- 1980-82 – Practical cryogenic amplifiers using MESFET's demonstrated  
Weinreb *et al.* (NRAO), 7 K at 1.5 GHz, 20 K at 4.5 GHz

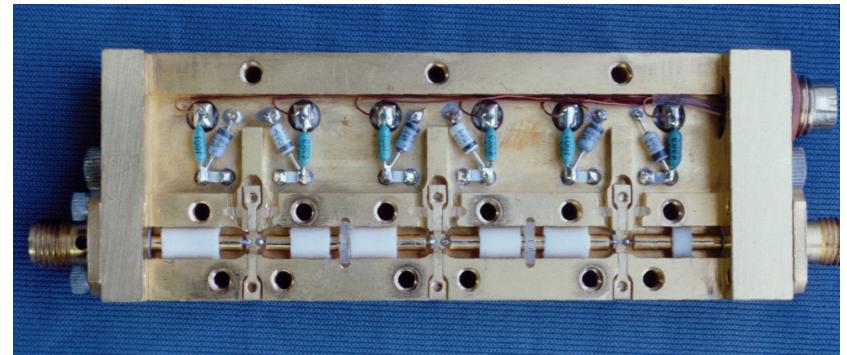


## A Short History of FET's (2)

- 1980 – demonstration of HFET (GaAs/AlGaAs) devices, Mimura *et al.* (Fujitsu), Morkoc *et al.* (U. of Illinois, Rockwell)
- 1984 -87 – **GaAs/AlGaAs HFET at cryogenic temperatures, Pospieszalski, Weinreb (NRAO), 6 K at 8.4 GHz**



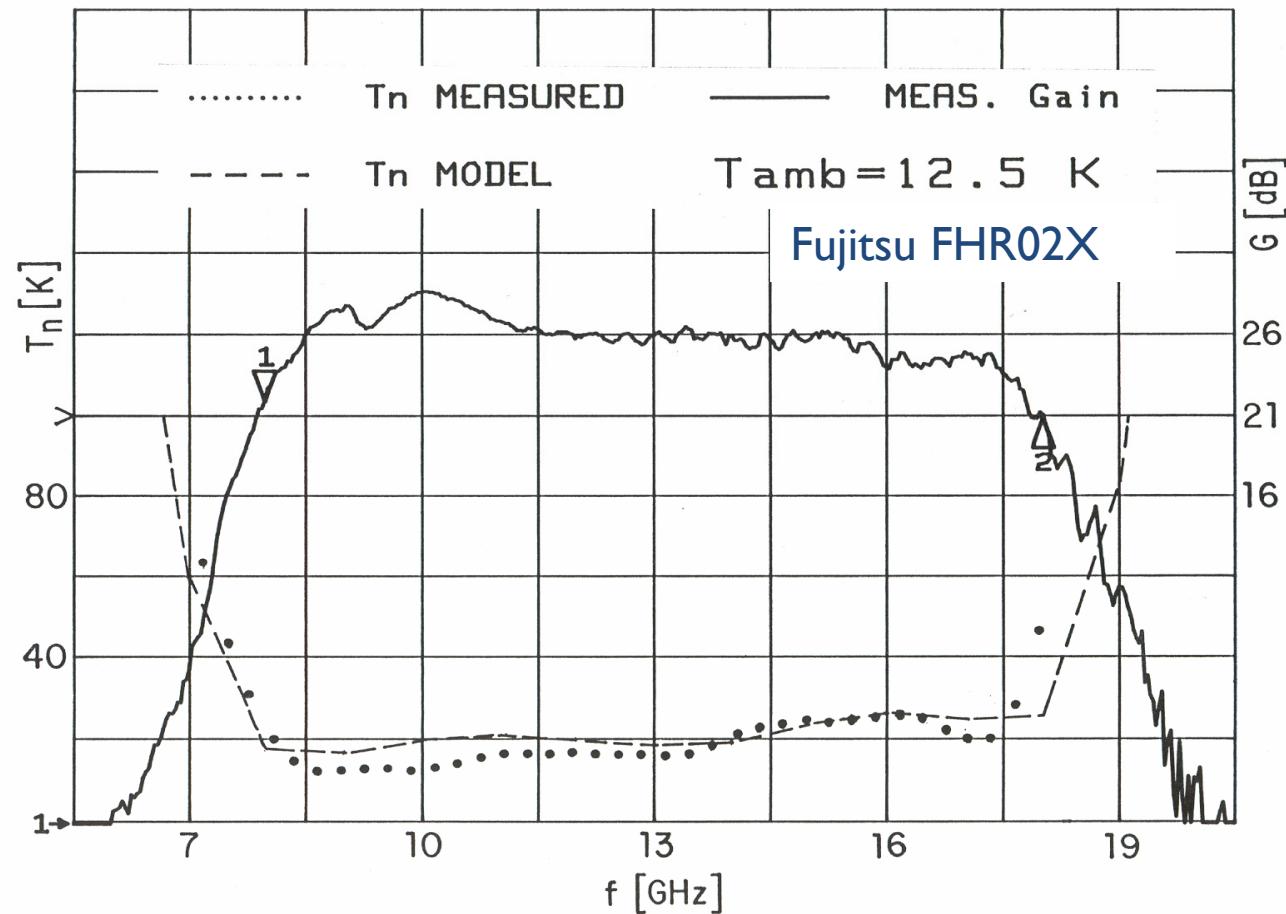
Voyager at Neptune X-band Amplifier



# A Short History of FET's (3)

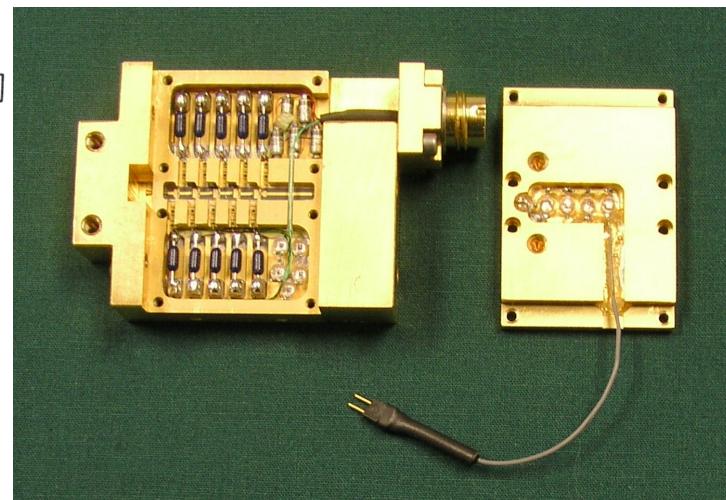
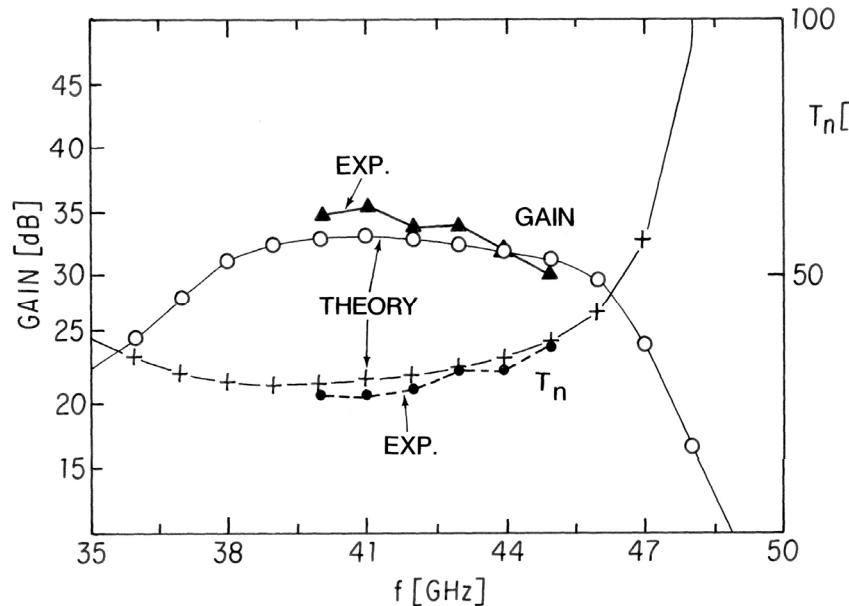
- 1985 – Pseudomorphic HFET (InGaAs/AlGaAs) introduced,  
Zipperian *et al.* (U.of Illinois), Rosenberg *et al.* (IBM)
- 1987 – Pulse-doped PHEMT introduced, Moll *et al.* (HP)
  - First demonstration of InGaAs/InAlAs/InP HFET's,  
Morkoc *et al.* (U. of Illinois)
- 1988 – Noise model of FET suitable for cryogenic applications developed, Pospieszalski (NRAO)
  - Pseudomorphic HEMT cooled, Weinreb *et al.* (NRAO),  
25 K at 40 GHz (Linear Monolithics)
  - First .1 um gate length InP HFET demonstrated, Mishra *et al.* (HRL) soon joined by TRW, GE and Martin-Marietta (1989-91)

# 8-18 GHz Amplifier at 12.5 K (1988)



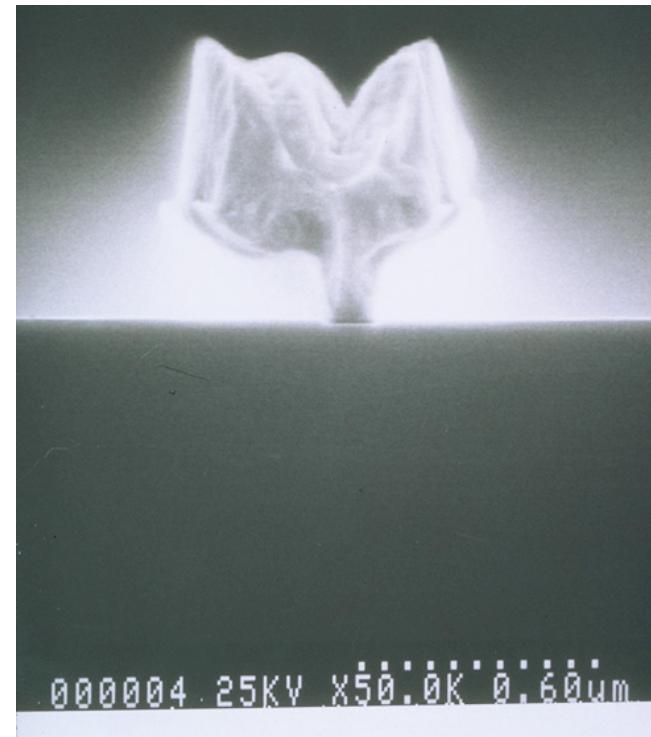
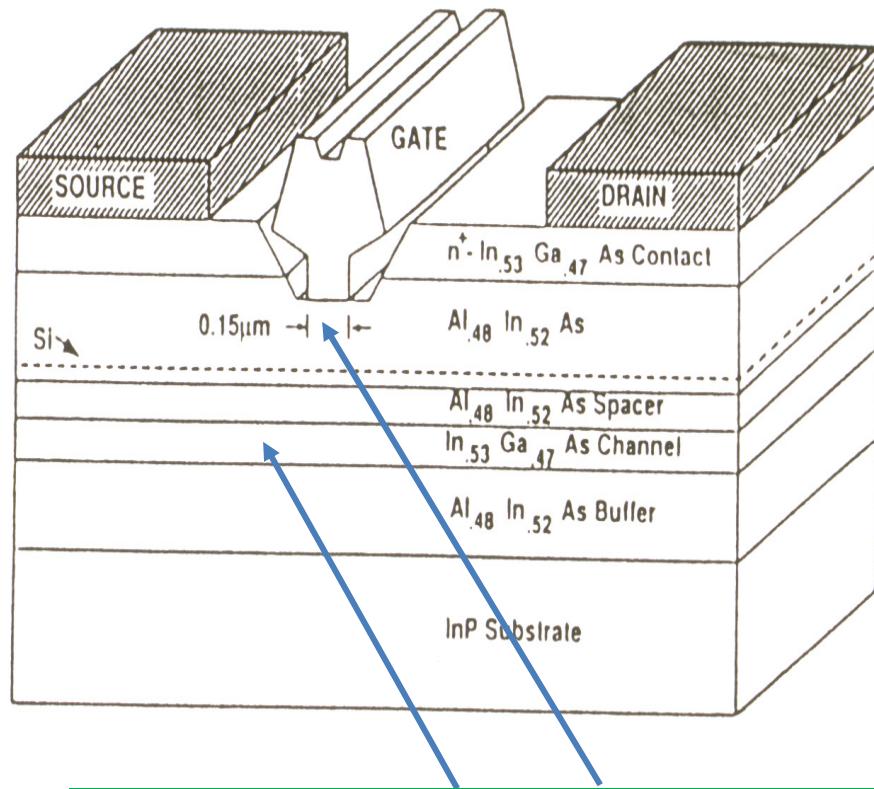
First amplifier designed using “Pospieszalski” noise model

# VLBA Q-band Amplifier (1991)



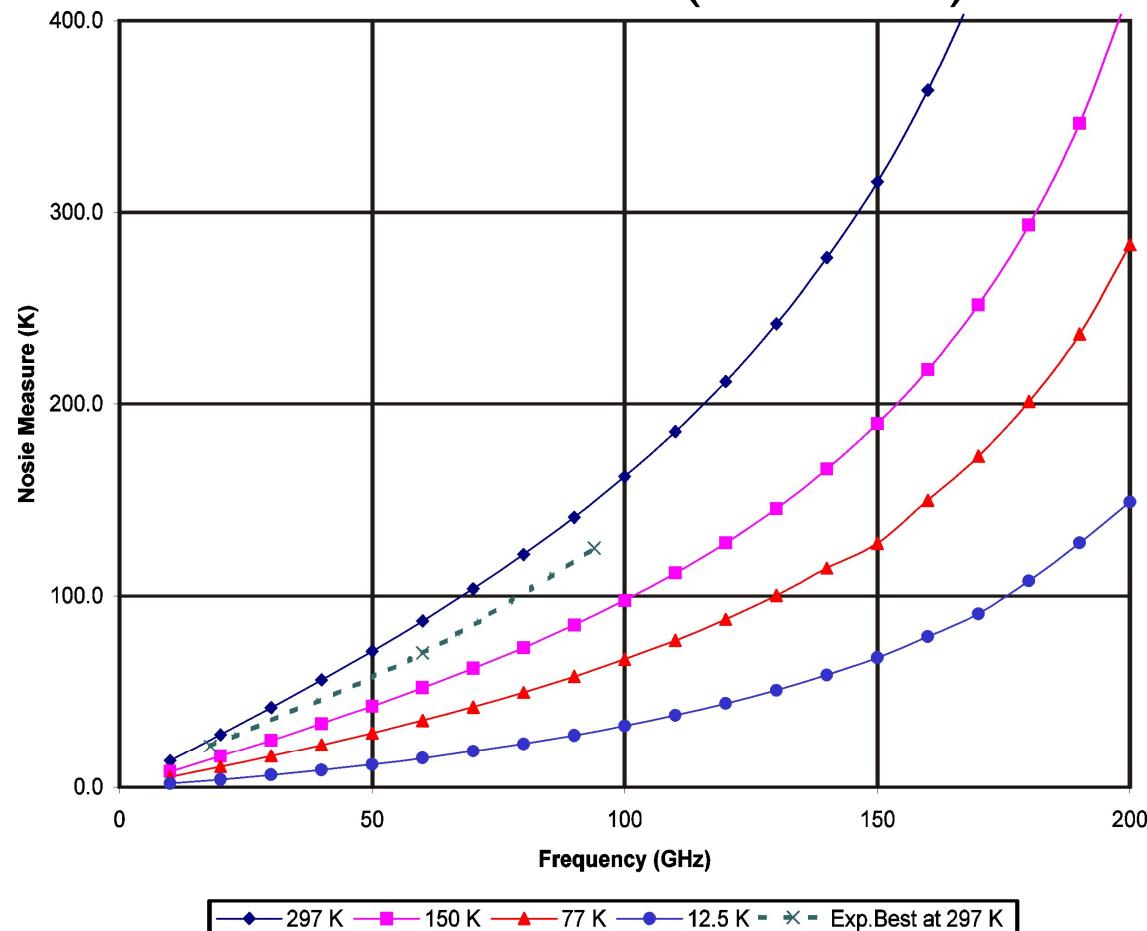
Linear Monolithics – ROHM Research PHEMT with .1 X 100 micron gate has been used  
These amplifiers are still in use in majority of VLBA Q-band receivers

# InGaAs/InAlAs/InP HEMT



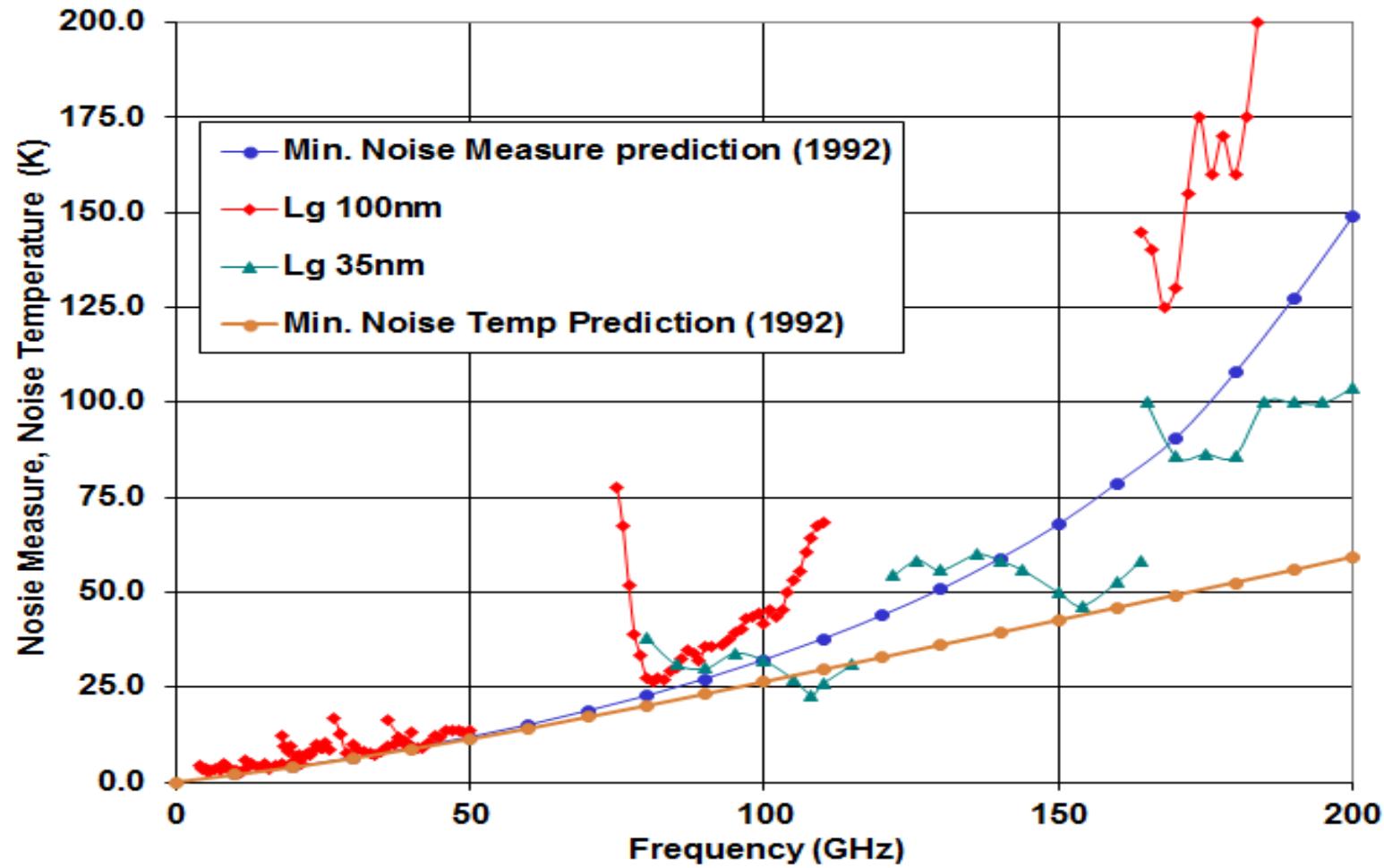
In modern InP HEMTs gate length is down to 20 nm and Indium content in the channel varies up 100 percent

# Prediction of InP HEMT Minimum Noise Measure (1991-92)

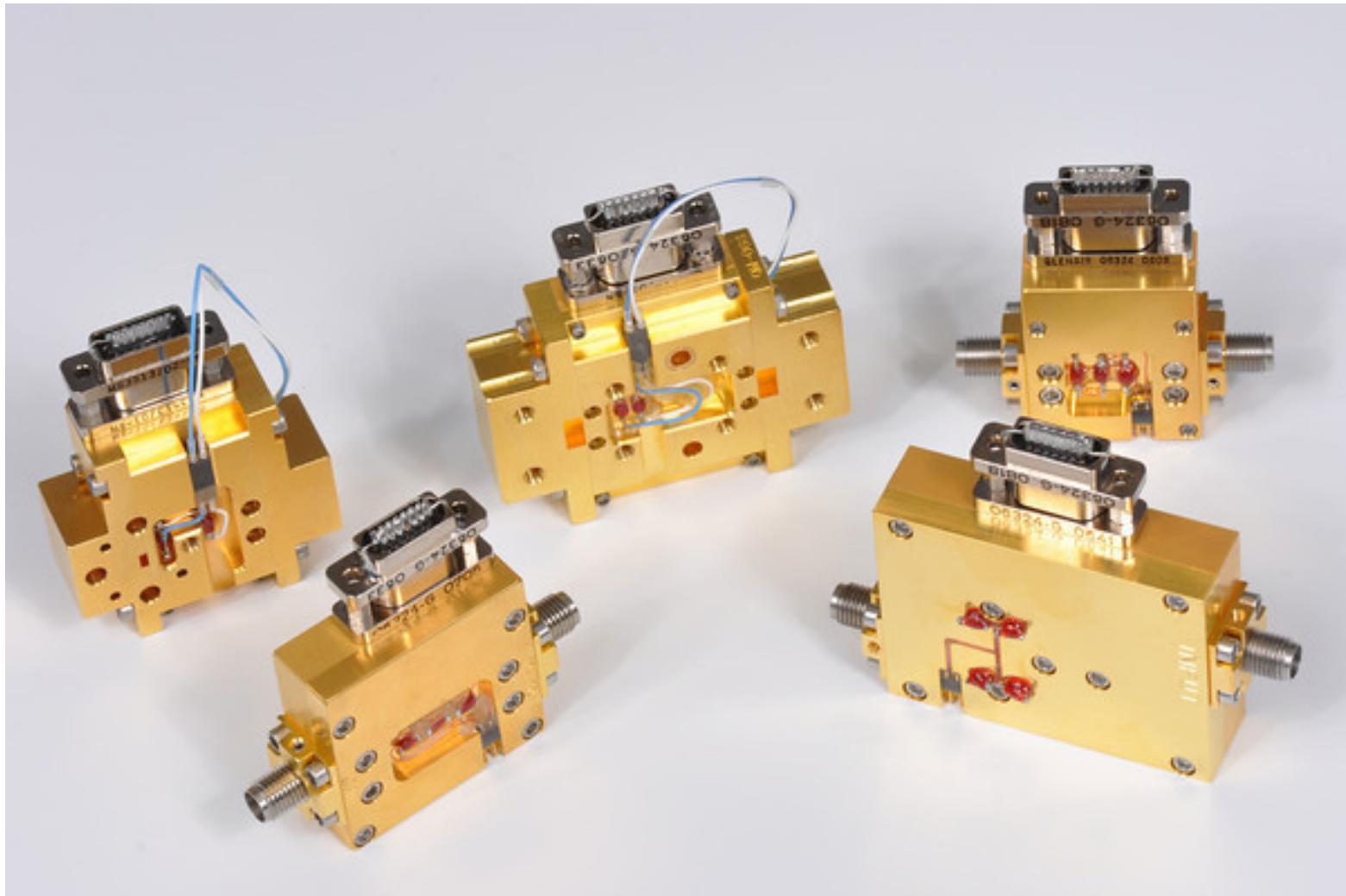


Source:  
MMA Memo #67  
and paper at 1992  
IMS, Albuquerque, NM

# State-of-the-Art 2016



# JVLA Amplifiers



# MIC (“chip and wire”) vs. MMIC

## MMIC Disadvantages:

- Package typically over-moded (absorbers needed)
- Repair of failures much more difficult,
- If it does not work properly, no diagnosis can be easily performed
- Impossible to modify
- Limited number of chips on a single wafer
- Performance not always repeatable from wafer to wafer

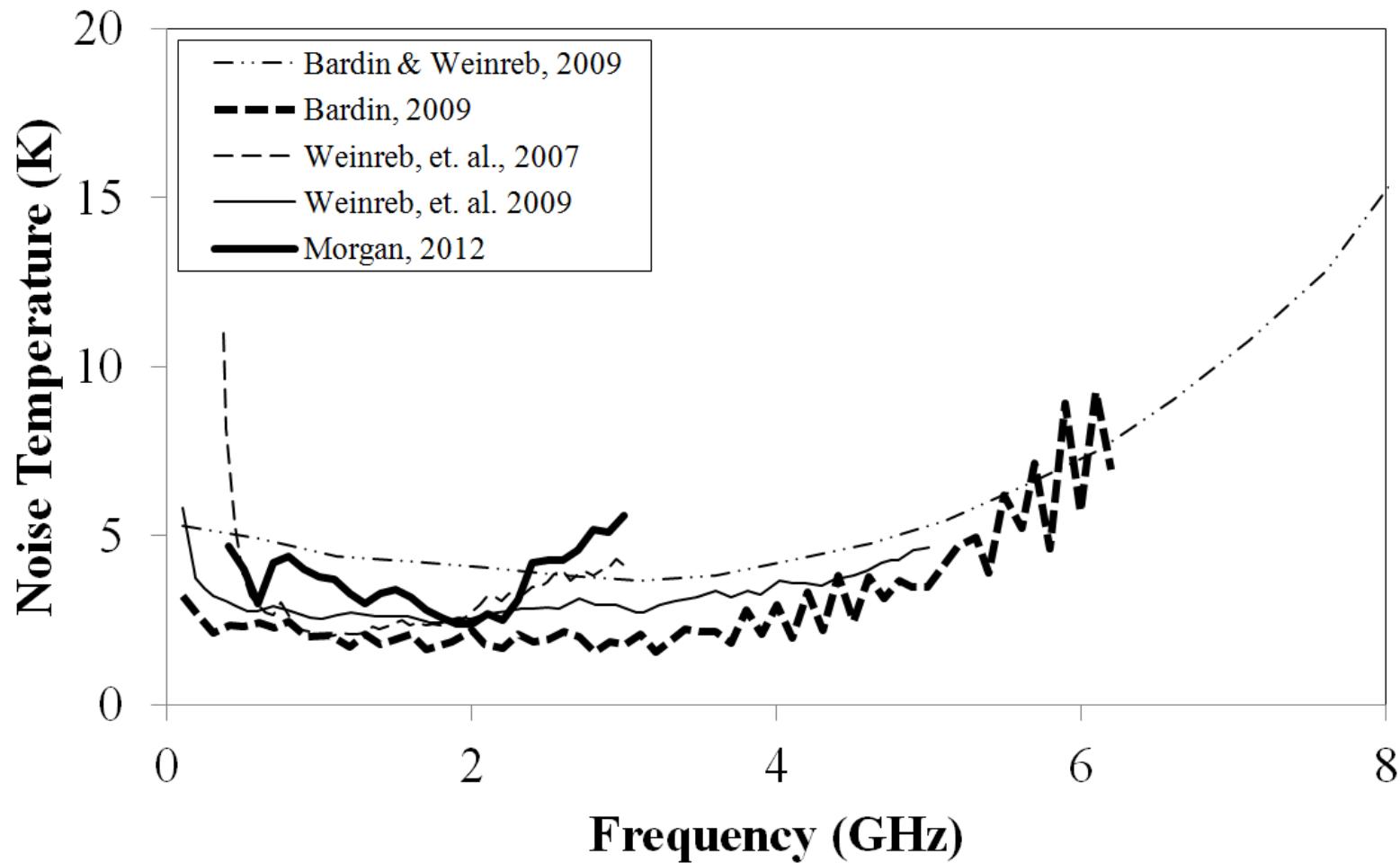
## MMIC Advantages:

- Assembly labor (saves anywhere from 1 to 4 days of technician time per amplifier)
- Much better control of design than in case of MIC
- MIC practically impossible to built with devices having gates < 100 nm

# What we do not understand about cryogenic HEMTs:

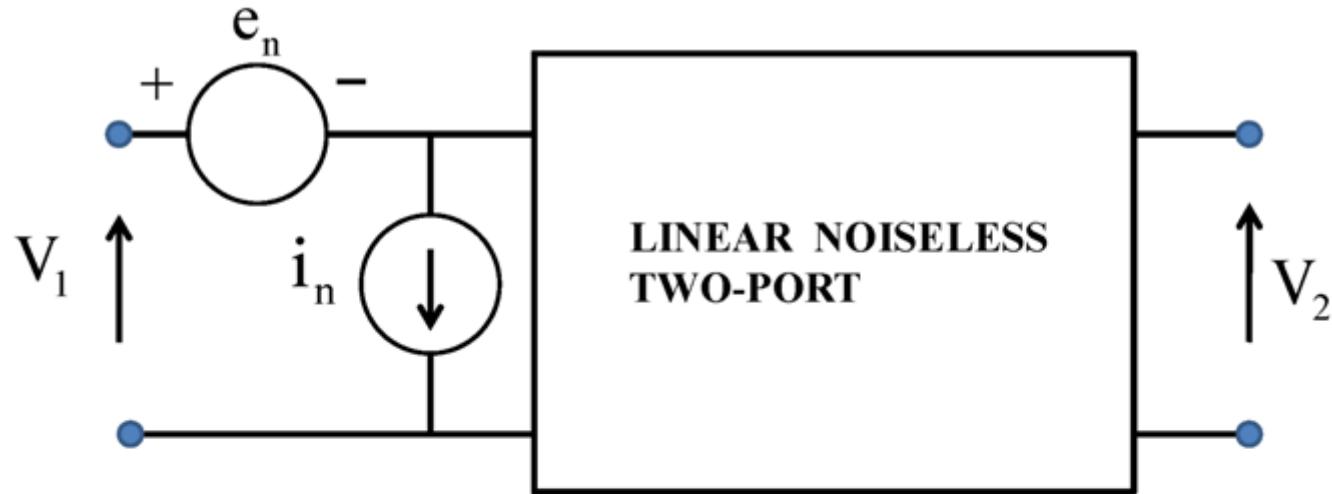
- light illumination: effects on noise and gain, short and long term stability of both
- 1/f gain fluctuations
- what determines the “quality of pinch off”
- DC (or possibly RF) instability in InP HFET’s of larger gate periphery (>200 microns)
- so called “current switch off” effect in InP devices which seems to be dependent on device layout
- an additional source of noise with 1/f like spectrum at certain bias usually at drain currents densities slightly higher than typically optimal for noise

# Best Cryogenic SiGe Amplifiers



# What We Do Understand

# Common Noise Representations of 2-Ports



$$g_n = \frac{\overline{|i_n|^2}}{4kT_0 df} \quad R_n = \frac{\overline{|e_n|^2}}{4kT_0 df} \quad T_0 = 290 \text{ K}$$

$$\rho = \frac{\overline{e_n^* i_n}}{\sqrt{\overline{|e_n|^2} \overline{|i_n|^2}}} \quad \text{k - Boltzmann constant}$$

## Common Noise Representations of 2-Ports (2)

$$T_n = T_{\min} + T_o \frac{g_n}{R_g} |Z_g - Z_{\text{opt}}|^2 = T_{\min} + N T_o \frac{|Z_g - Z_{\text{opt}}|^2}{R_g R_{\text{opt}}}$$

$$T_n = T_{\min} + 4 N T_o \frac{|\Gamma_g - \Gamma_{\text{opt}}|^2}{\left(1 - |\Gamma_{\text{opt}}|^2\right) \left(1 - |\Gamma_g|^2\right)}$$

where  $\Gamma_{\text{opt}} = \frac{Z_{\text{opt}} - Z_0}{Z_{\text{opt}} + Z_0}$   $N = R_{\text{opt}} g_n$

## Allowed Values of Noise Parameters

For All Linear Noisy Two-Ports:  $|\rho| \leq 1 \iff T_{\min} \leq 4NT_0$

$$T_{\min} = T_0 \{2N + \operatorname{Re}(\rho \sqrt{R_n g_n})\}.$$

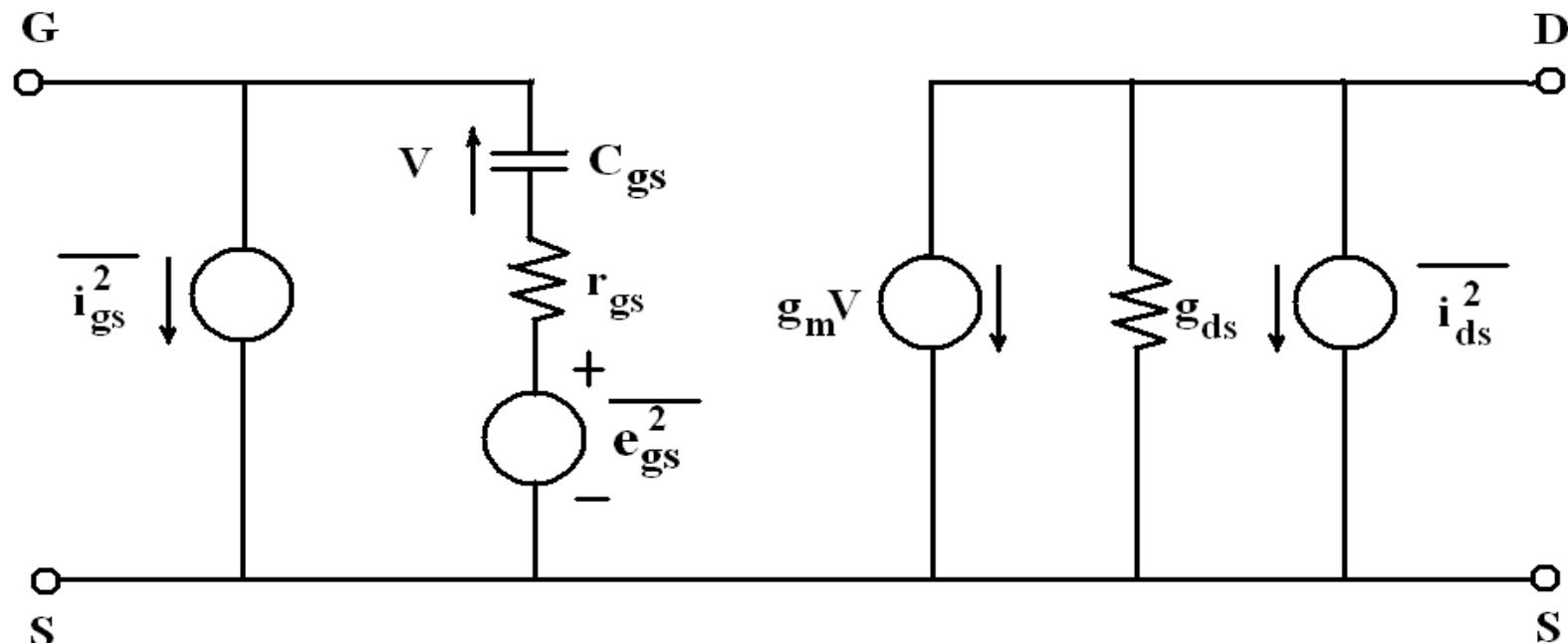
If therefore  $\operatorname{Re}(\rho) \geq 0$  and correlation matrix is Hermitian and non-negative definite, than always

$$1 \leq \frac{4NT_0}{T_{\min}} \leq 2$$

For all microwave transistors  
for useful frequency range :

$$\frac{4NT_0}{T_{\min}} \approx 2$$

# Simplest Noise Equivalent Circuit of a FET



$$\overline{i_{gs}^2} = 2qI_{gs}\Delta f$$

$$\overline{e_{gs}^2} = 4T_g \frac{r}{g_{gs}} \Delta f$$

$$\overline{i_{ds}^2} = 4kT_d g_{ds} \Delta f$$

# Noise Parameters of FET: Low Frequency Approximation

For:  $A \left( \frac{f_t}{f} \right)^2 \gg 1$  and

$$\overline{i_{gs}^2} = 2 q I_{gs} \Delta f$$

$$T_{min}^L = \sqrt{2 q I_{gs} r_{gs} \frac{T_g}{k}}$$

$$R_{opt}^L = \sqrt{2 k r_{gs} \frac{T_g}{q I_{gs}}}$$

$$g_n^L = \frac{q I_{gs}}{2 k T_o}$$

$$\frac{4 N T_o}{T_{min}} \cong 2$$

# Noise Parameters of FET: High Frequency Approximation

But still for:

$$\frac{f}{f_t} \ll \sqrt{\frac{T_g}{T_d} \frac{1}{r_{gs} g_{ds}}}$$

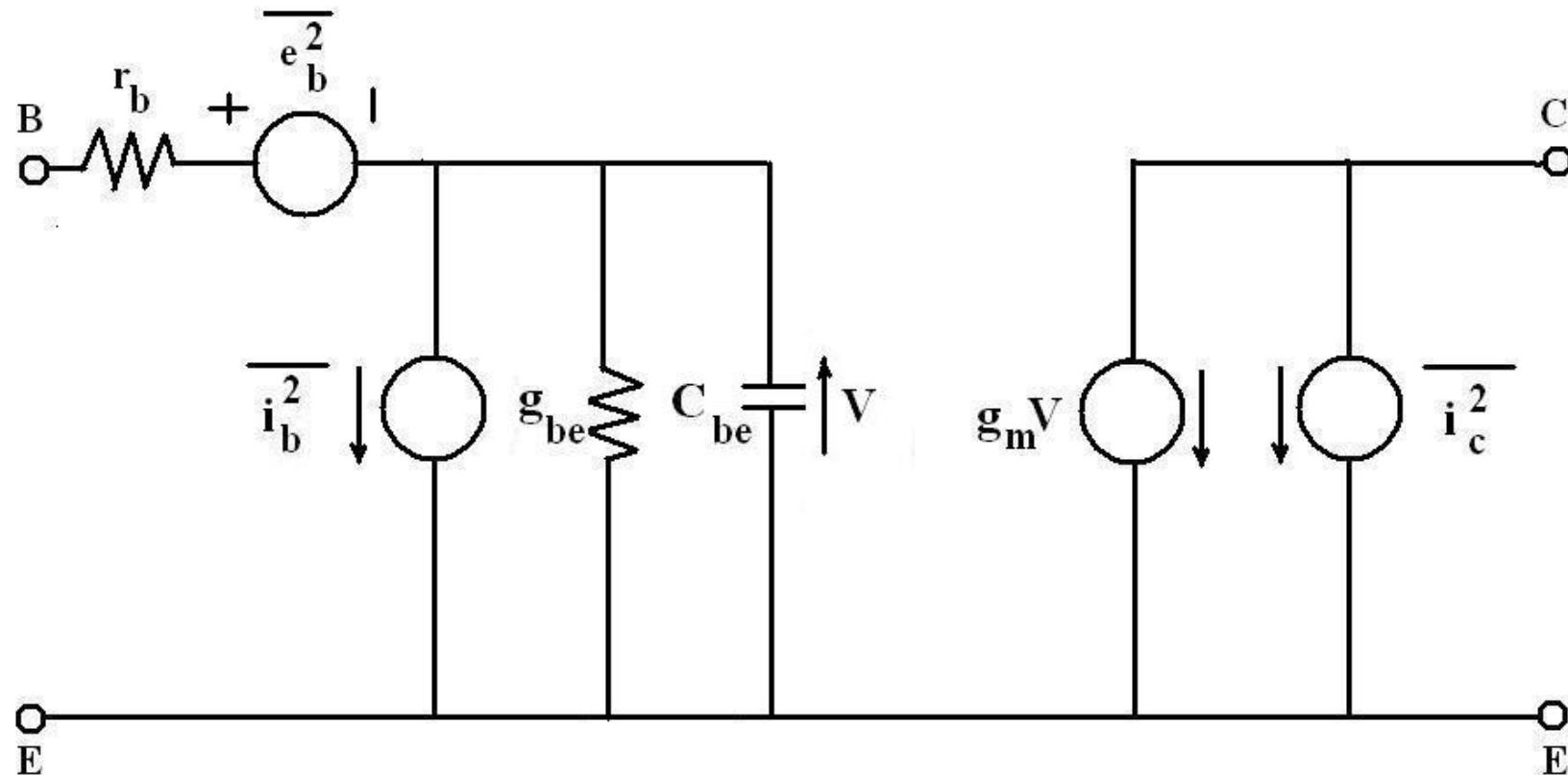
$$R_{opt} \cong \frac{f_t}{f} \sqrt{\frac{r_{gs} T_g}{g_{ds} T_d}}$$

$$g_n = \left(\frac{f}{f_t}\right)^2 \frac{g_{ds} T_d}{T_o}$$

$$T_{min} \cong 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_{gs} T_g}$$

$$\frac{4NT_o}{T_{min}} \cong 2$$

## Simplest Noise Equivalent Circuit of Intrinsic HBT



$$\overline{i_b^2} = 2 q I_{gS} \Delta f$$

$$\overline{e_b^2} = 4 k T_d r_b \Delta f$$

$$\overline{i_c^2} = 2 q I_C \Delta f$$

# InP HFET vs. SiGe HBT

## InP HFET

$$T_{\min} \cong 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g}$$

$f \ll \frac{f_t}{\sqrt{\beta}}$  and  $\beta \gg 1$

$$T_{\min} = \sqrt{\frac{2 q I_b T_a r_b}{k}}$$

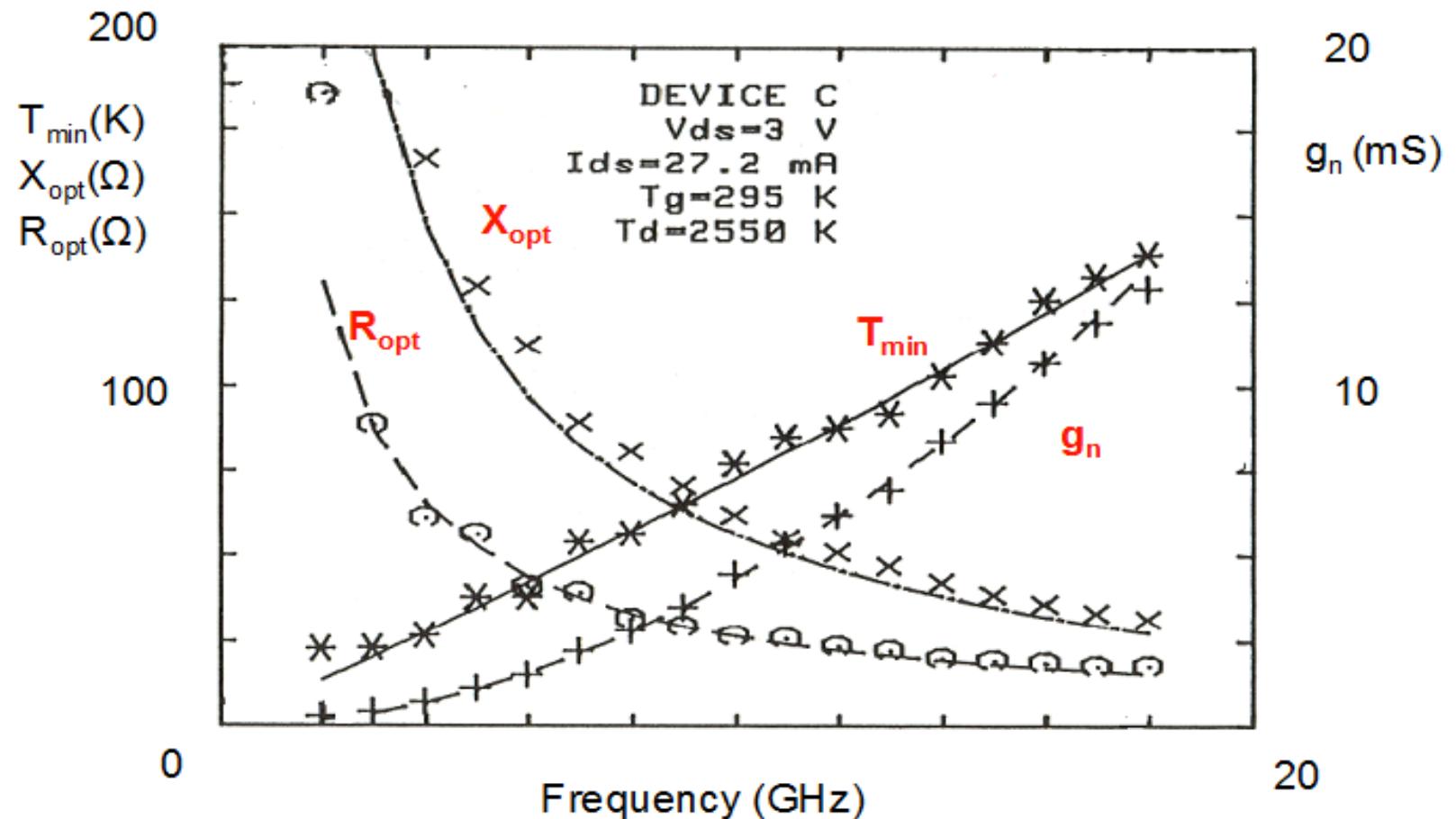
$f \gg \frac{f_t}{\sqrt{\beta}}$  and  $\beta \gg 1$

$$T_{\min} = \frac{f}{f_t} \sqrt{\frac{2 q I_c T_a r_b}{k}}$$

## SiGe HBT

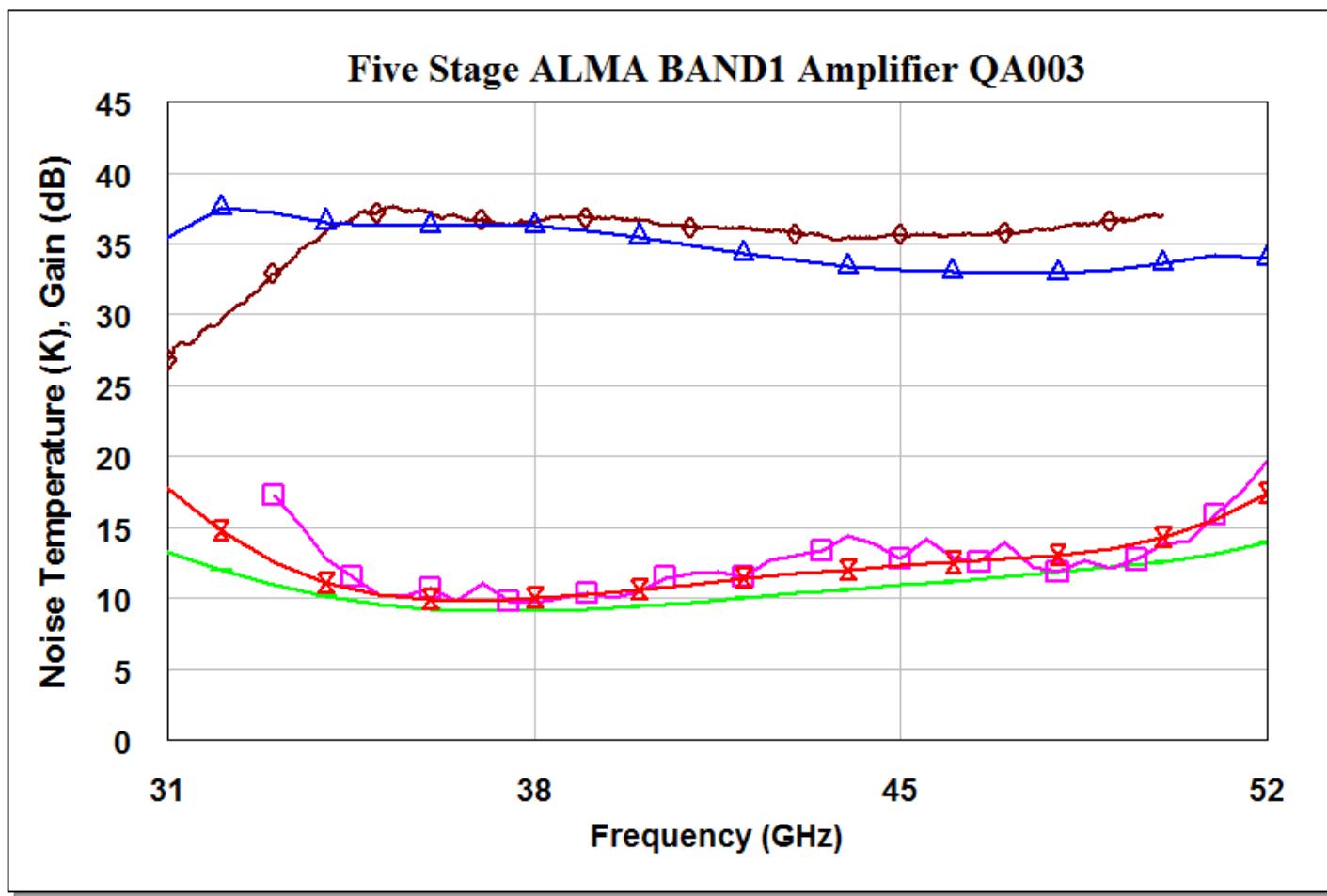
# Accuracy of Noise Model

## Experimental Example of GaAs MESFET



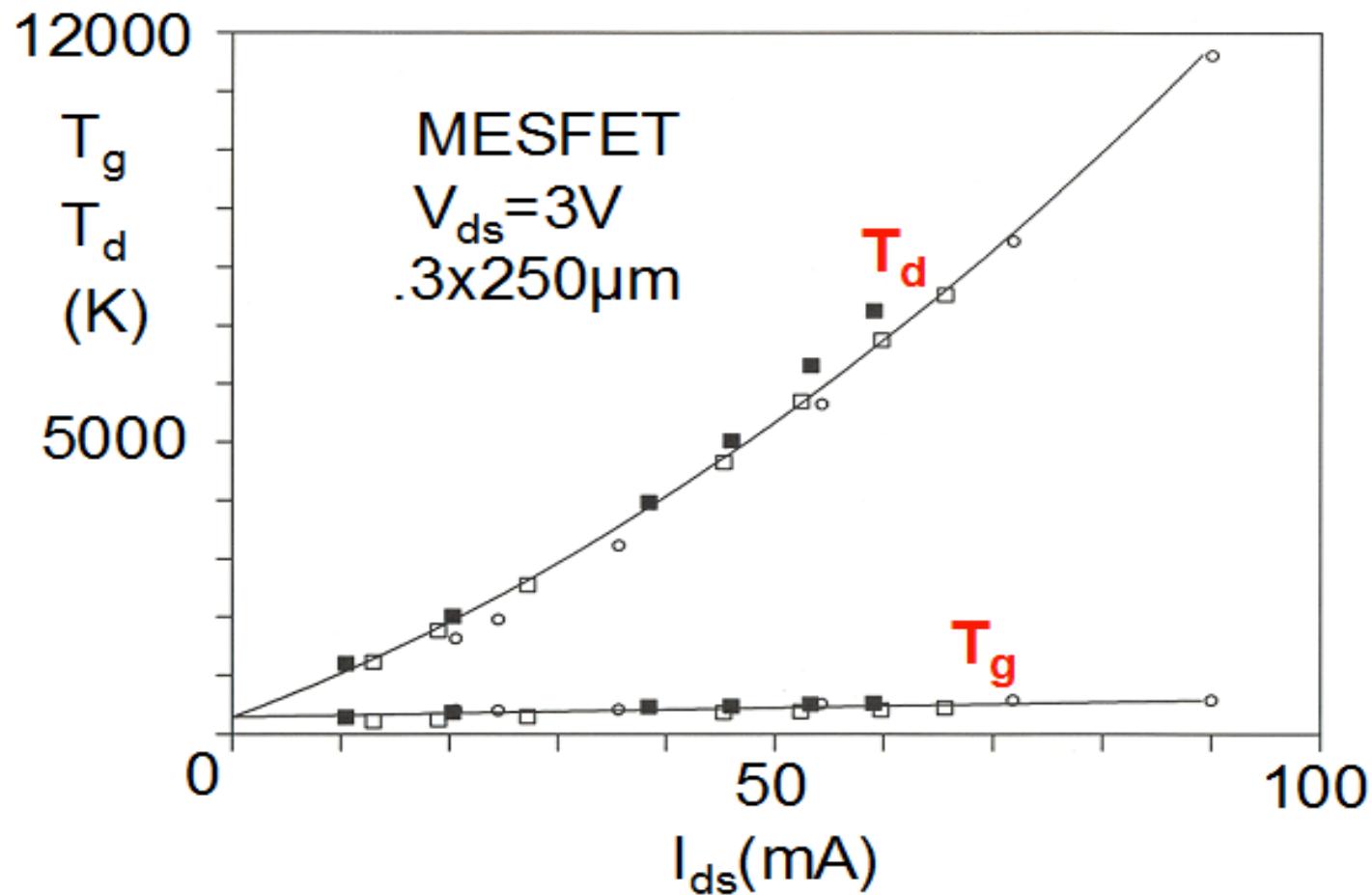
# ALMA Band #1 5 Stage Amplifier: Modeled and Measured Results at 20 K

- ▲— Gain model
- ✗— Noise Model
- Gain meas.
- ◆— Noise meas.
- Tmin model

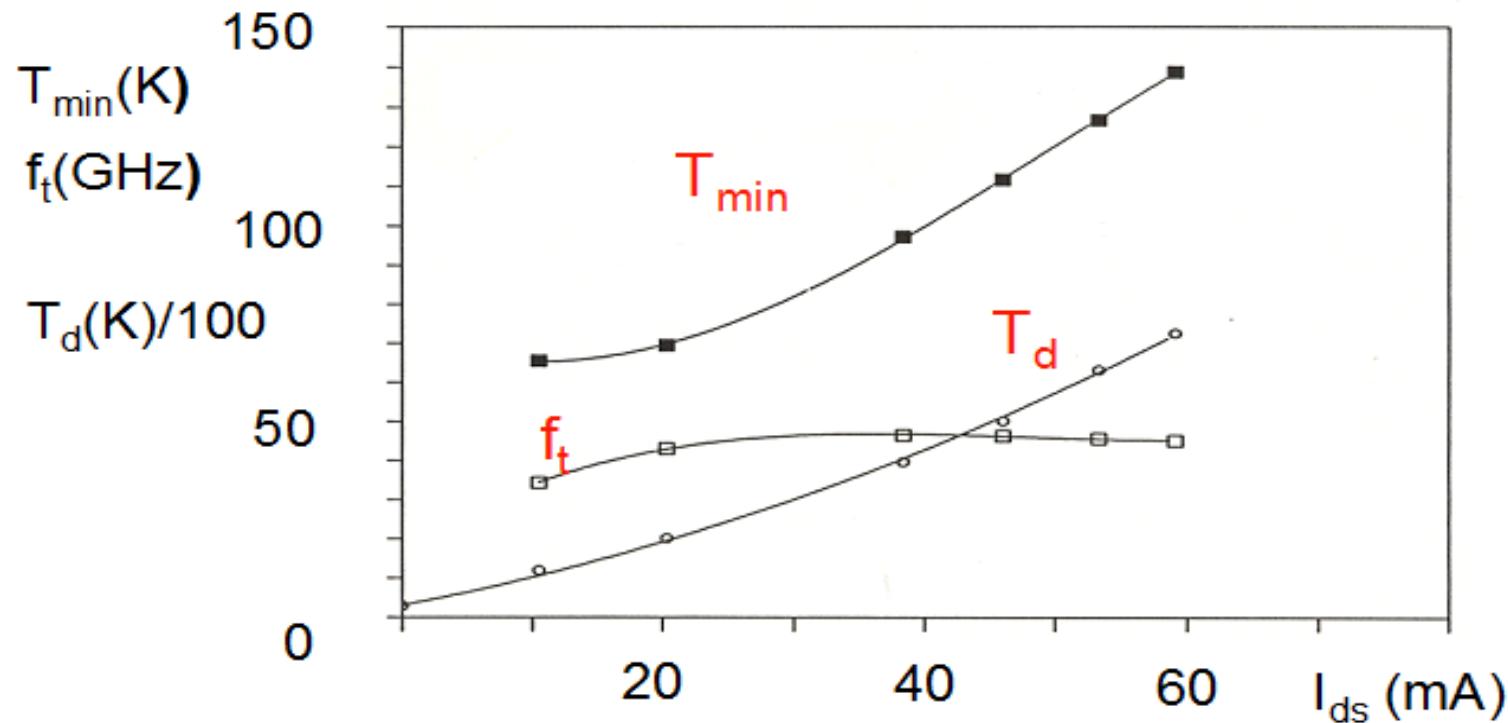


# Bias Dependence

# Example of $T_g$ and $T_d$ Dependence on $I_d$

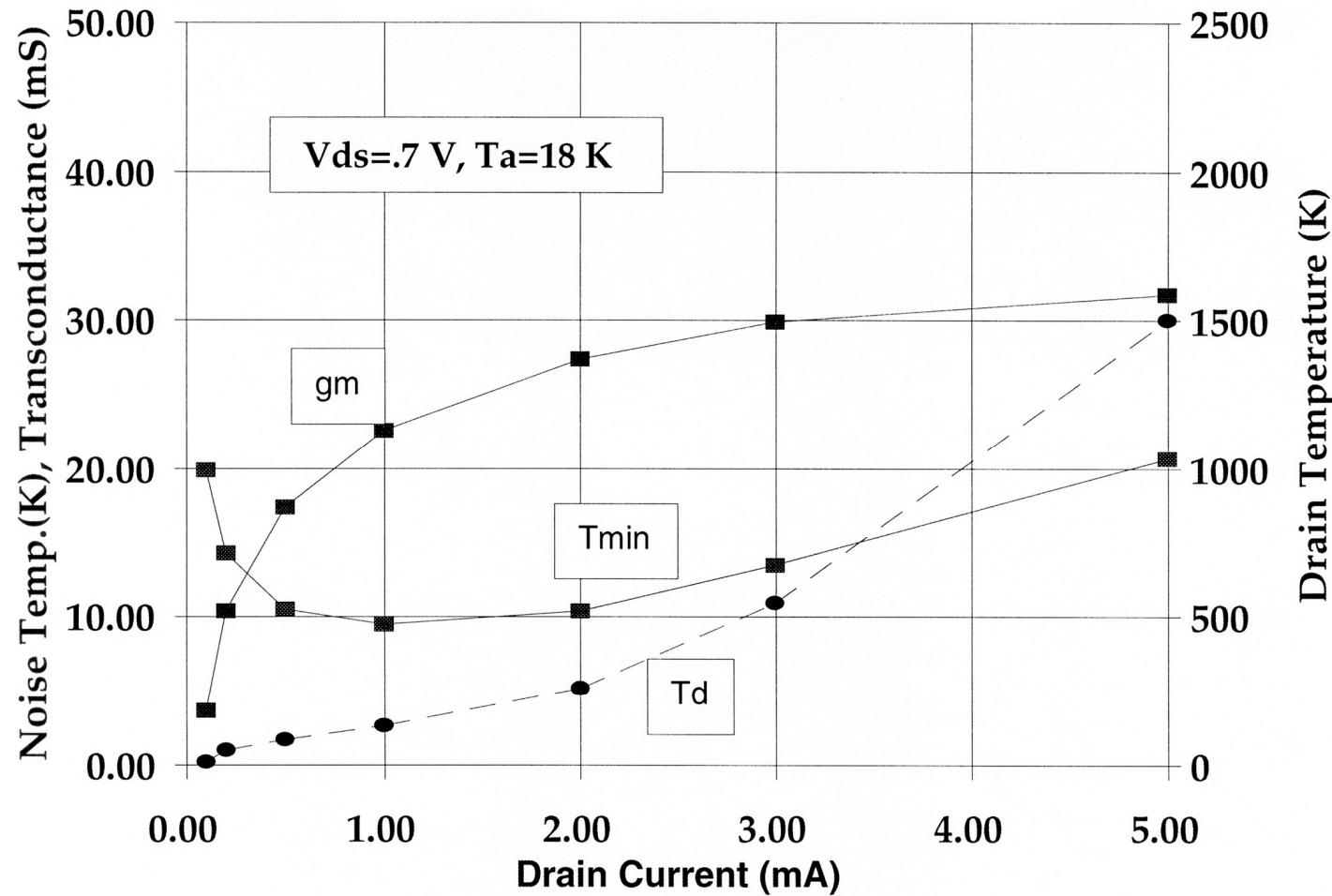


# Optimal Noise Bias of GaAs FET at 297 K (1991)



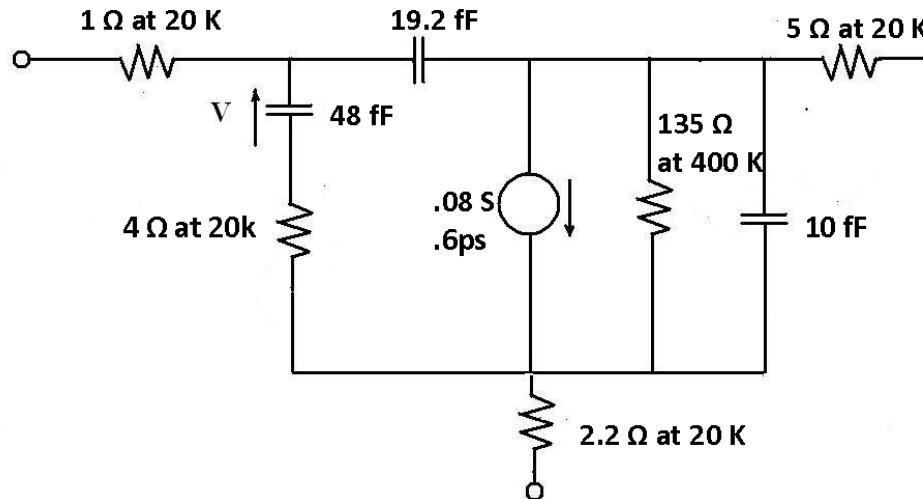
Optimal bias is minimizing the value of:  $f(V_{ds}, I_{ds}) = \frac{\sqrt{T_d g_{ds}}}{f_T}$

# Optimal Noise Bias of InP HFET at 18 K (1994)



# Example of Equivalent Circuit and $g_m(I_{ds})$ Characteristics of Cryo3 InP HFET

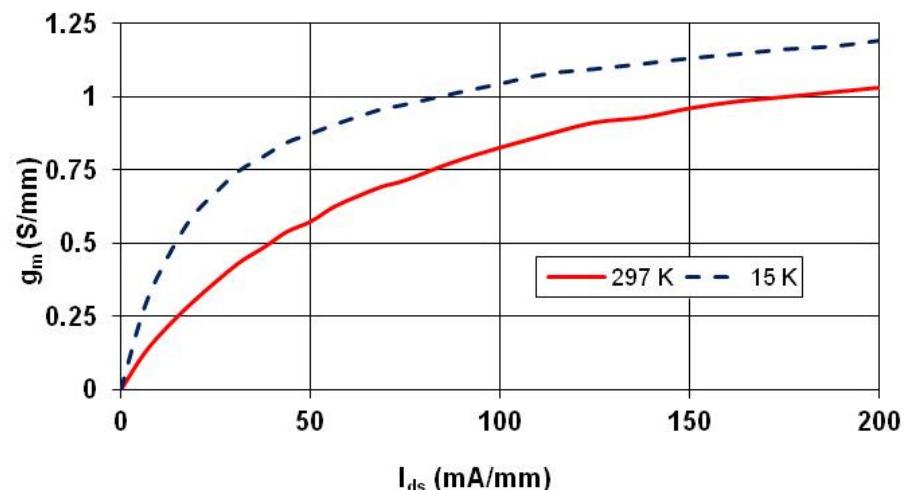
Equivalent circuit of cryo3 device



$L_g = 80\text{nm}$ ,  $W_g = 80\mu\text{m}$

$$T_{\min} \approx 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g} \approx \frac{f}{f_{\max}} \sqrt{T_d T_g}$$

$g_m(I_{ds})$  characteristics



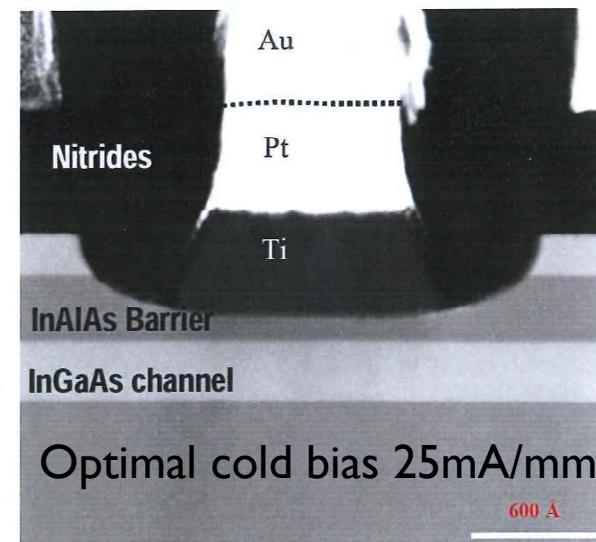
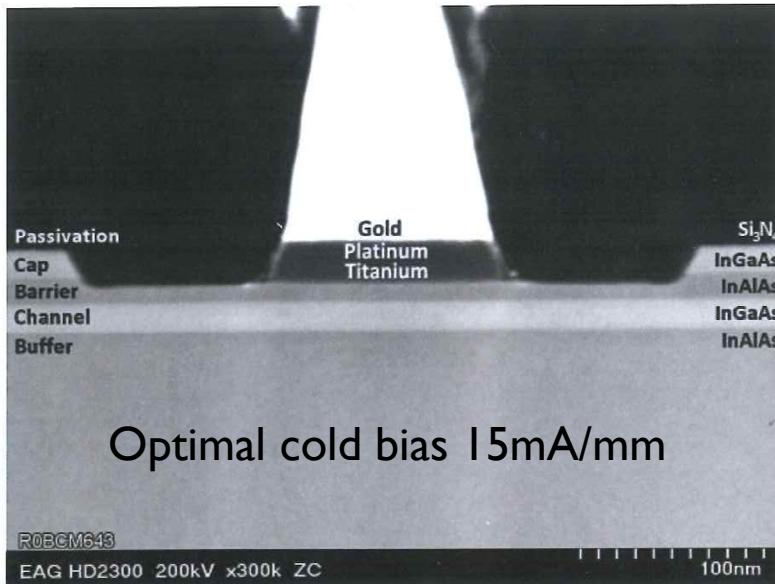
Typical optimal  $I_{ds}$  bias:  
75mA/mm at 297 K,  
20-25 mA/mm at 20 K

# $T_{\min}$ Dependence on Bias (Summary)

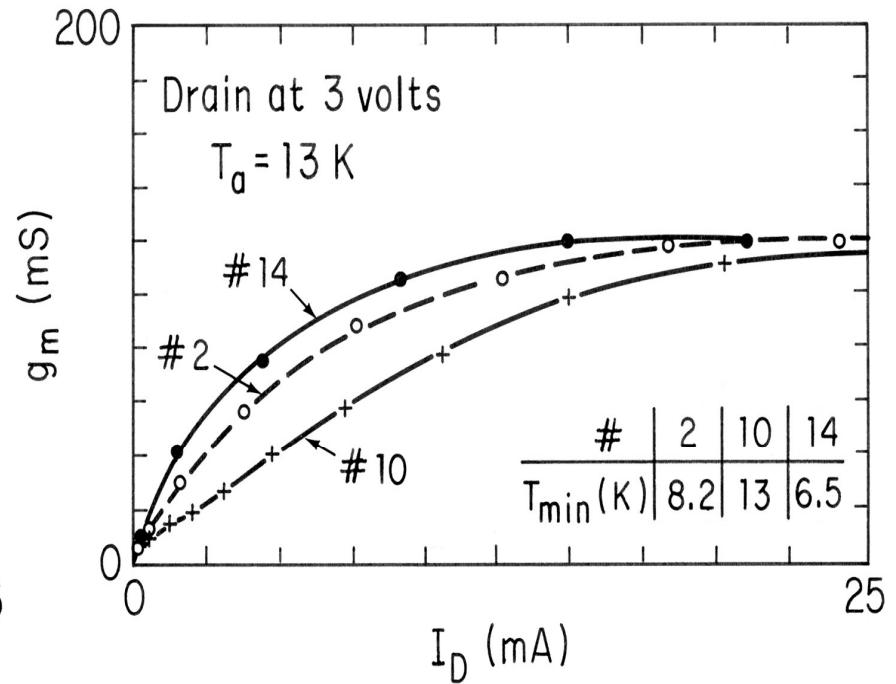
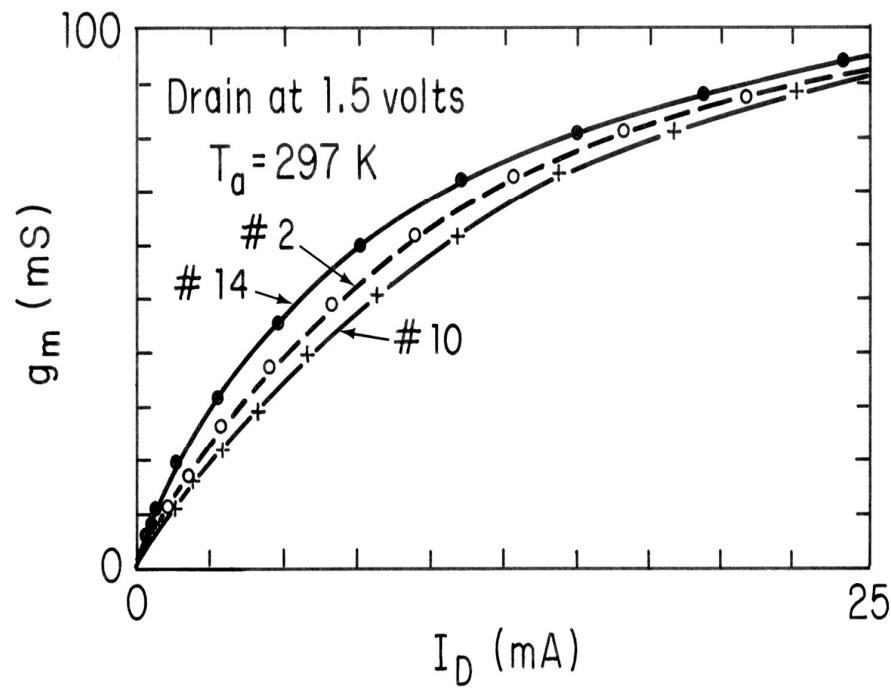
$$T_{\min} \approx 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g} \approx \frac{f}{f_{max}} \sqrt{T_d T_g} \quad f_t \approx \frac{g_m}{2\pi(C_{gs} + C_{gd})}$$

Noise optimal bias is minimizing the value of:  $f(V_{ds}, I_{ds}) \approx \frac{\sqrt{I_{ds}}}{g_m}$

The importance of gate recess technology (Joel Schleeh, Chalmers/LNF)

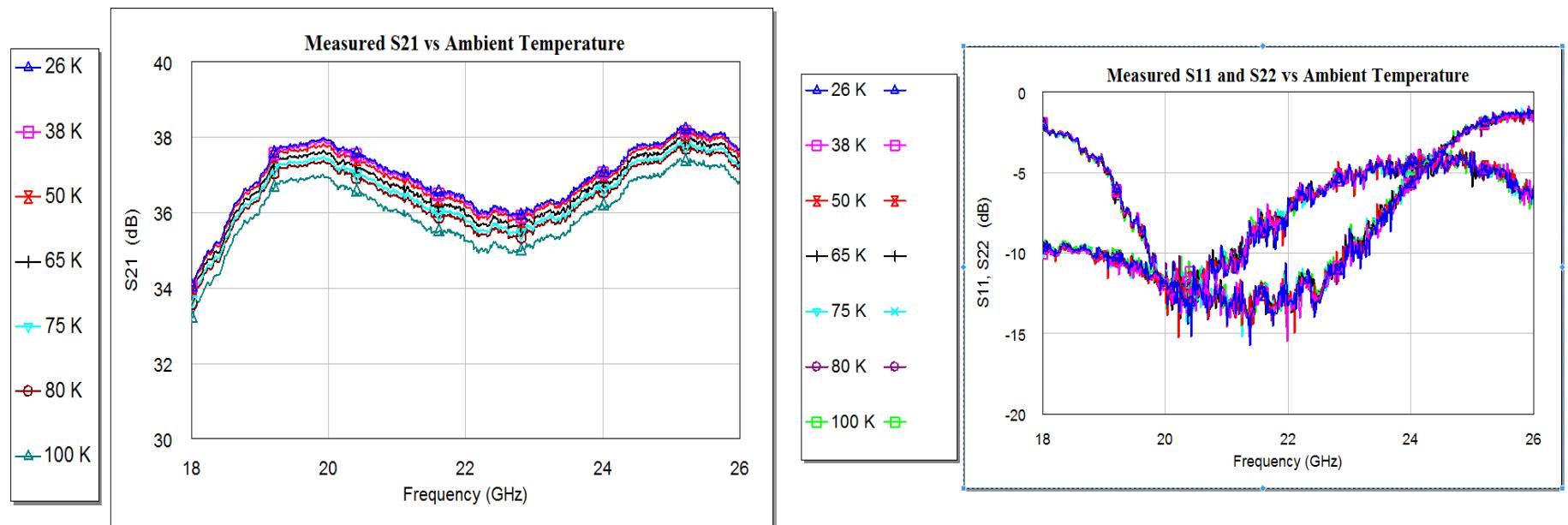


# Cryogenic $T_{min}$ at 8.4 GHz and dc pinch-off characteristics of GE HFET's (1987)



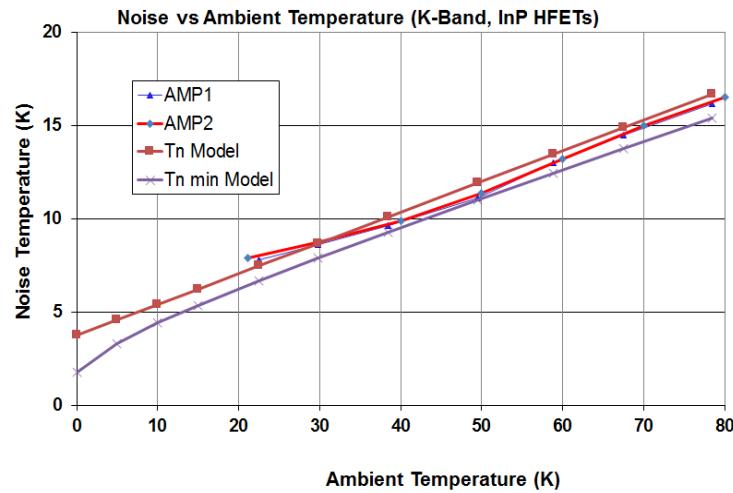
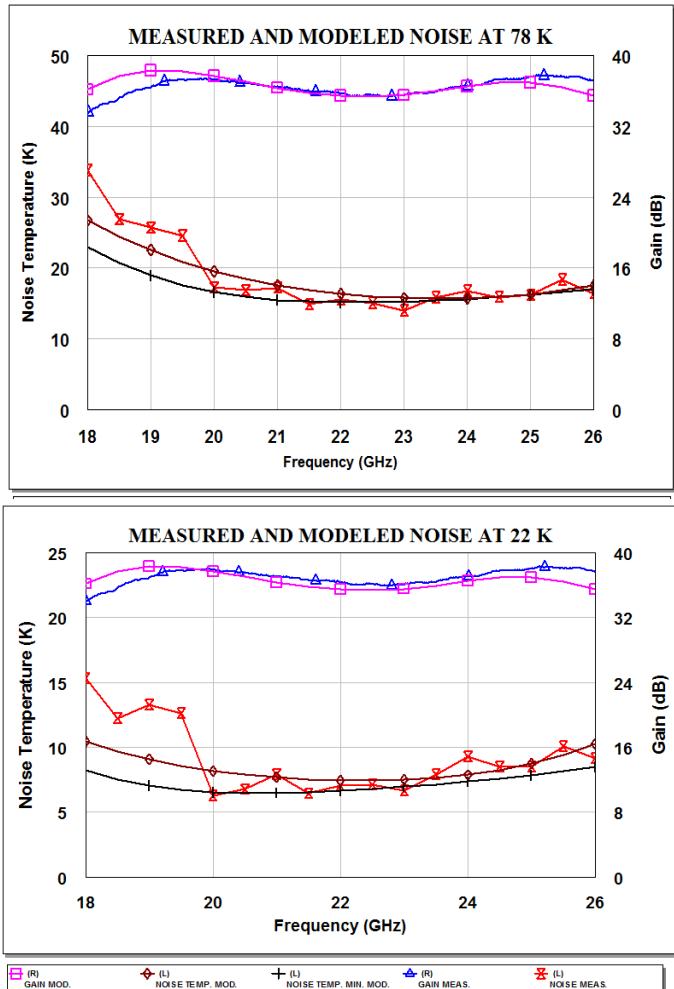
# Ambient Temperature Dependence

# S-Parameters of K-Band Amplifier Versus Ambient Temperature



RWW 2017

# Noise Temperature of K-Band Amplifier Versus Ambient Temperature



$$T_{\min} \cong 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g} \cong \frac{f}{f_{\max}} \sqrt{T_d T_a}$$

$T_d$  is proportional current  $I_d$  but is independent of ambient temperature

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# Broad Band Noise Matching

# Any FET or Bipolar Transistor is practically a THREE Noise Parameter Device

$$T_n = T_{\min} + 4NT_0 \frac{\left| \Gamma_g - \Gamma_{\text{opt}} \right|^2}{\left( 1 - \left| \Gamma_{\text{opt}} \right|^2 \right) \left( 1 - \left| \Gamma_g \right|^2 \right)}$$

$\frac{4NT_0}{T_{\min}} \approx 2$

$\Gamma_g = 0$

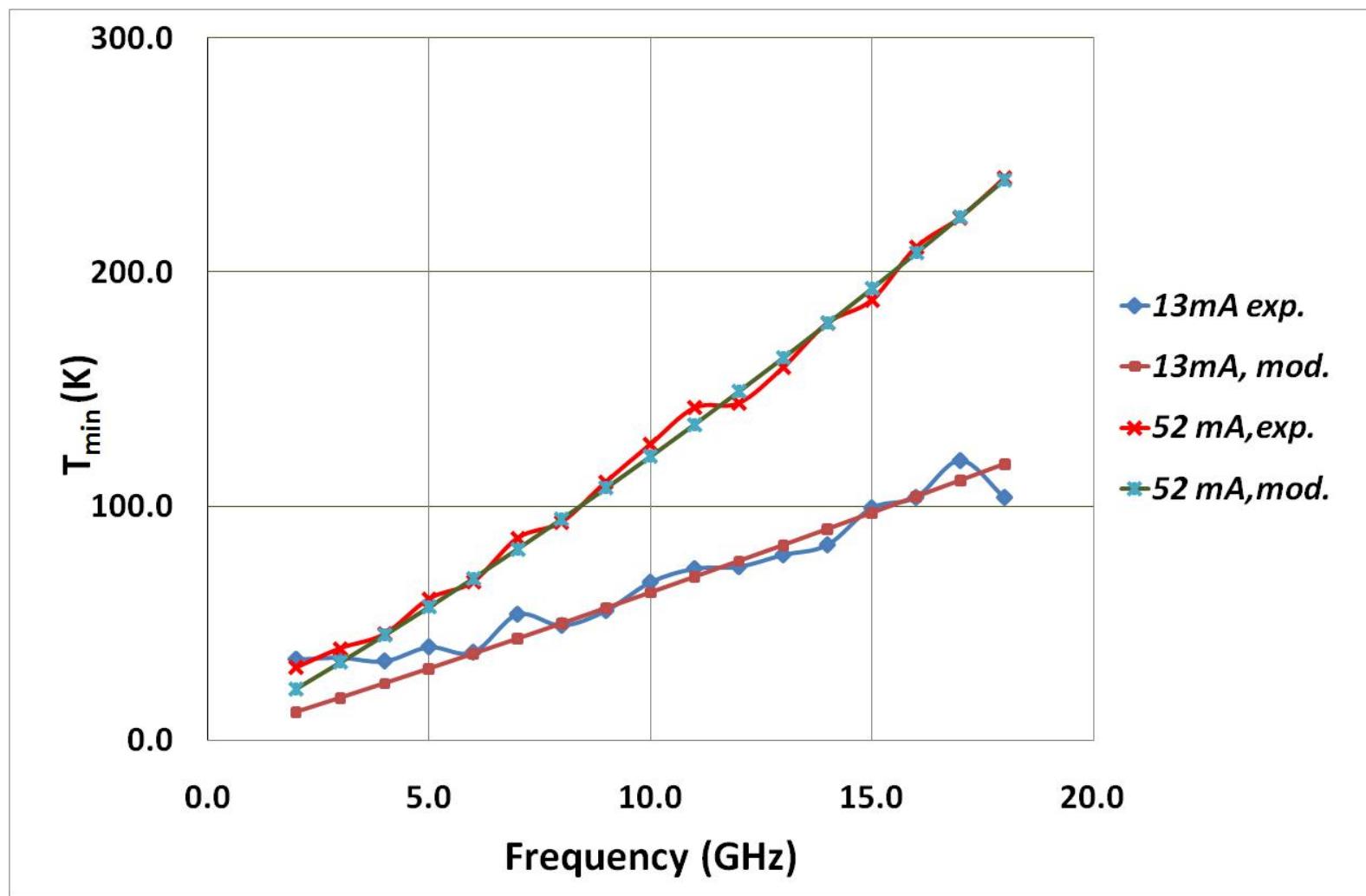
$$T_n \approx T_{\min} \frac{\left| \Gamma_{\text{opt}} \right|^2}{\left( 1 - \left| \Gamma_{\text{opt}} \right|^2 \right)}$$

For a given frequency range chose  $\Gamma_{\text{opt}}$  close to SC center.

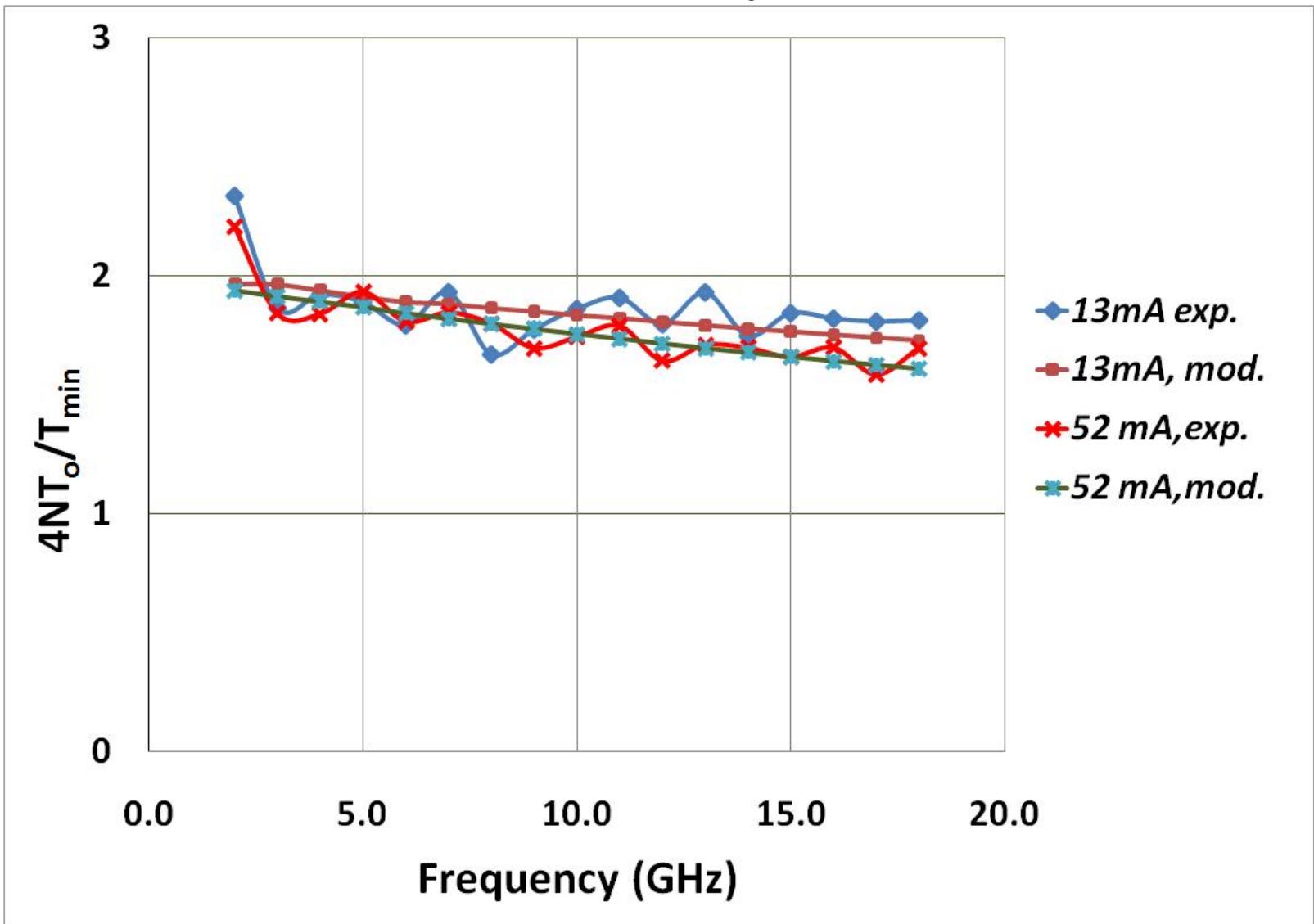
In practice, for a given frequency range average  $T_n$  is:

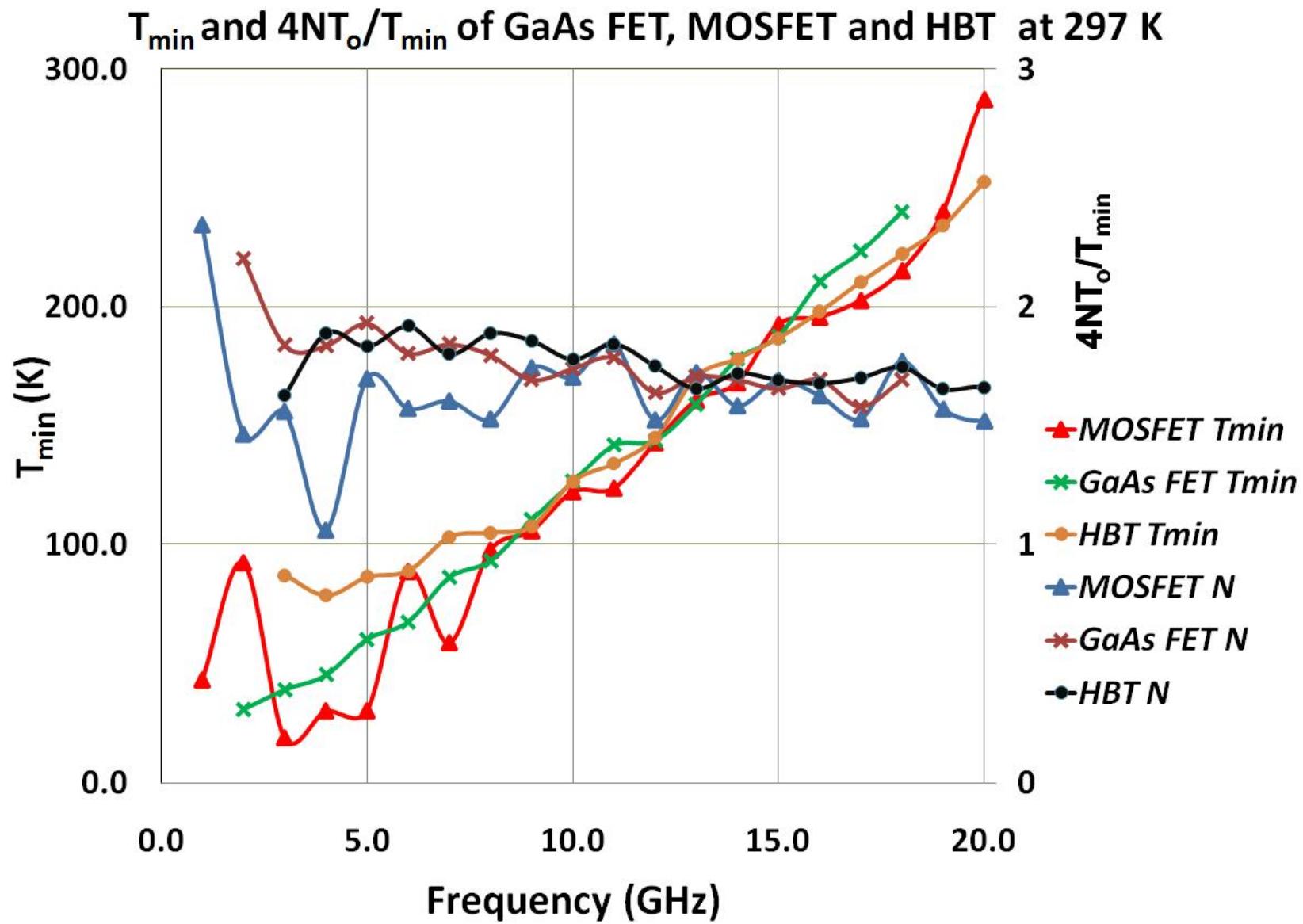
$$\frac{1}{f_{\max} - f_{\min}} \int_{f_{\min}}^{f_{\max}} T_n df \approx M_{\min}(f_{\max})$$

# Measured and Modeled $T_{\min}$ of a FET

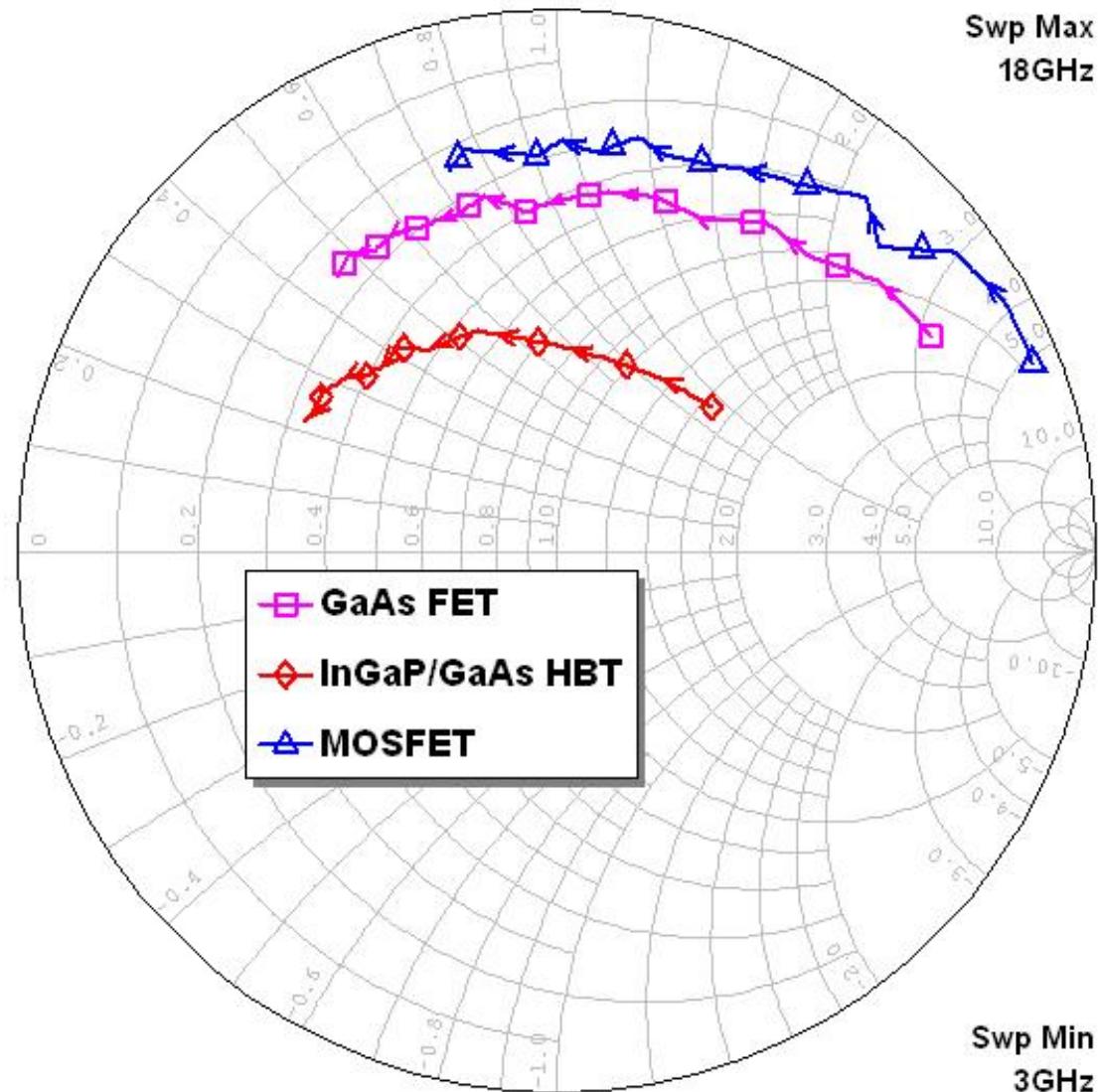


## Measured and Modeled $4NT_0/T_{\min}$ of a FET





## Optimal Source Impedance



# Device Scaling: Gate Width

$$T_{\min} \cong 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g}$$

$$R_{\text{opt}} \cong \frac{f_t}{f} \sqrt{\frac{r_t T_g}{g_{ds} T_d}}$$

$$r_t = r_{gs} + r_g + r_s$$

Width



$R_{\text{opt}}$



$T_{\min}$



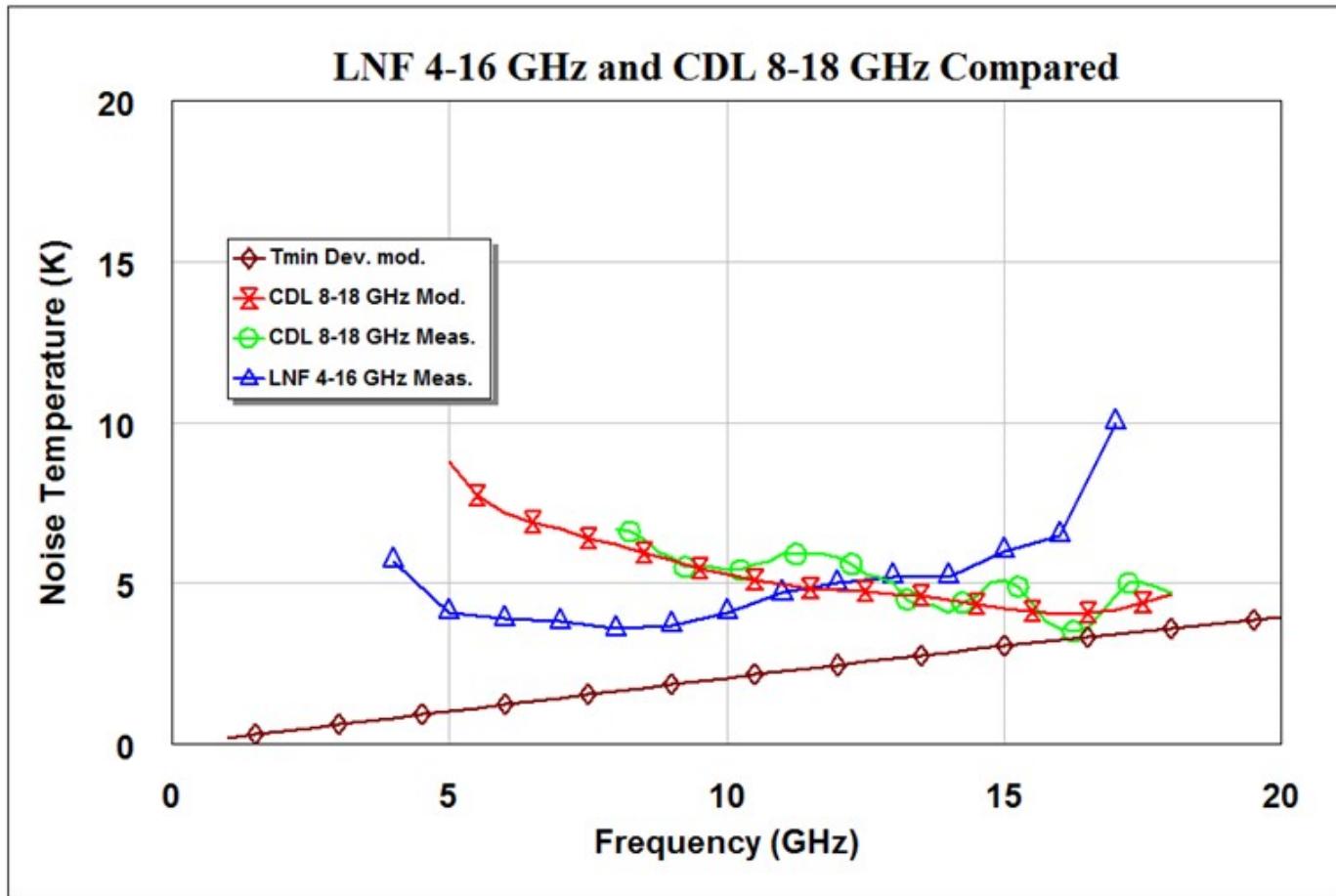
in principle

$T_{\min}$



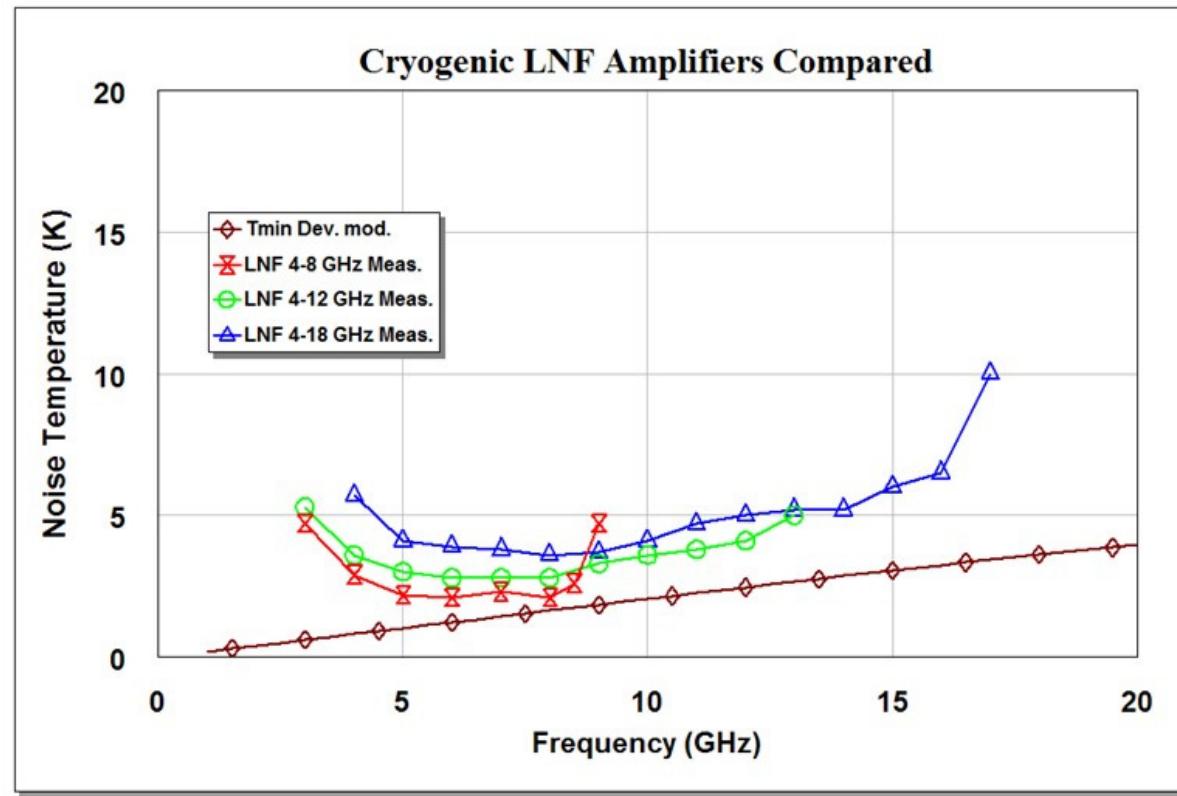
in practice

# Broad Band Noise Matching Illustration (I)



ALMA Memo  
#601, 2016

# Broad Band Noise Matching Illustration (II)



Low Noise Factory Catalog Data: <http://www.lownoisefactory.com/>

ALMA Memo  
#601, 2016

# Device Scaling: Gate Length

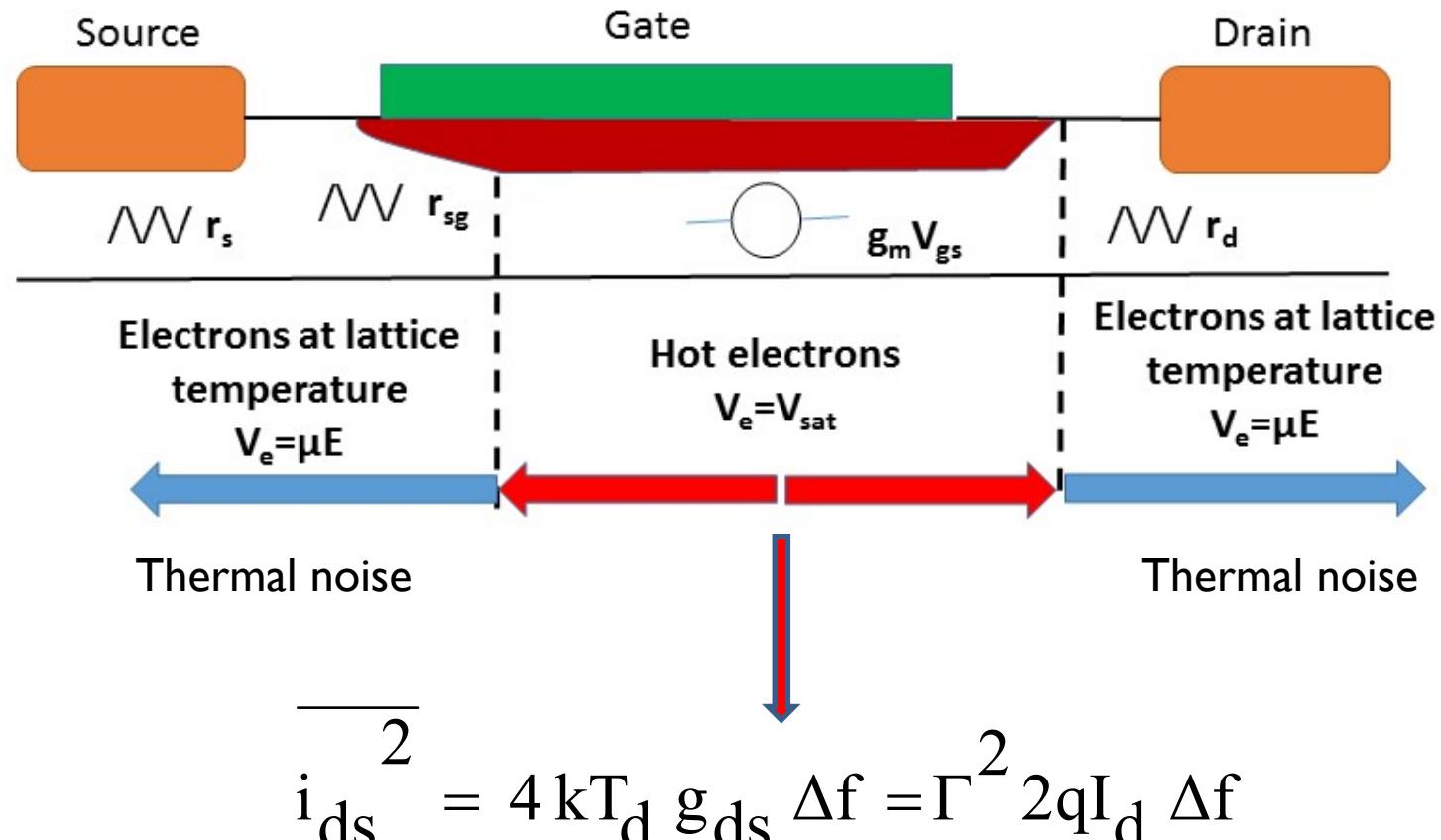
$$T_{\min} \cong 2 \frac{f}{f_t} \sqrt{g_{ds} T_d r_t T_g} \cong \frac{f}{f_{\max}} \sqrt{T_d T_a}$$

$L_g \downarrow$      $f_{\max} \rightarrow$      $T_{\min} \downarrow$     if  $T_d \approx const.$

But within a measurement error no device demonstrated  $T_{\min}$  lower than that predicted 25 years ago.

The best cryogenic wafers: Chalmers (130 nm), NGSTCryo3 (80-100 nm), NGST (35 nm) exhibit progressively better  $f_{\max}$  and  $M_{\min}$  but about the same minimum  $T_{\min}$  because  $T_d$  increases for deep submicron gate lengths.

# General and (Very Simple) Picture of Noise in FETs:

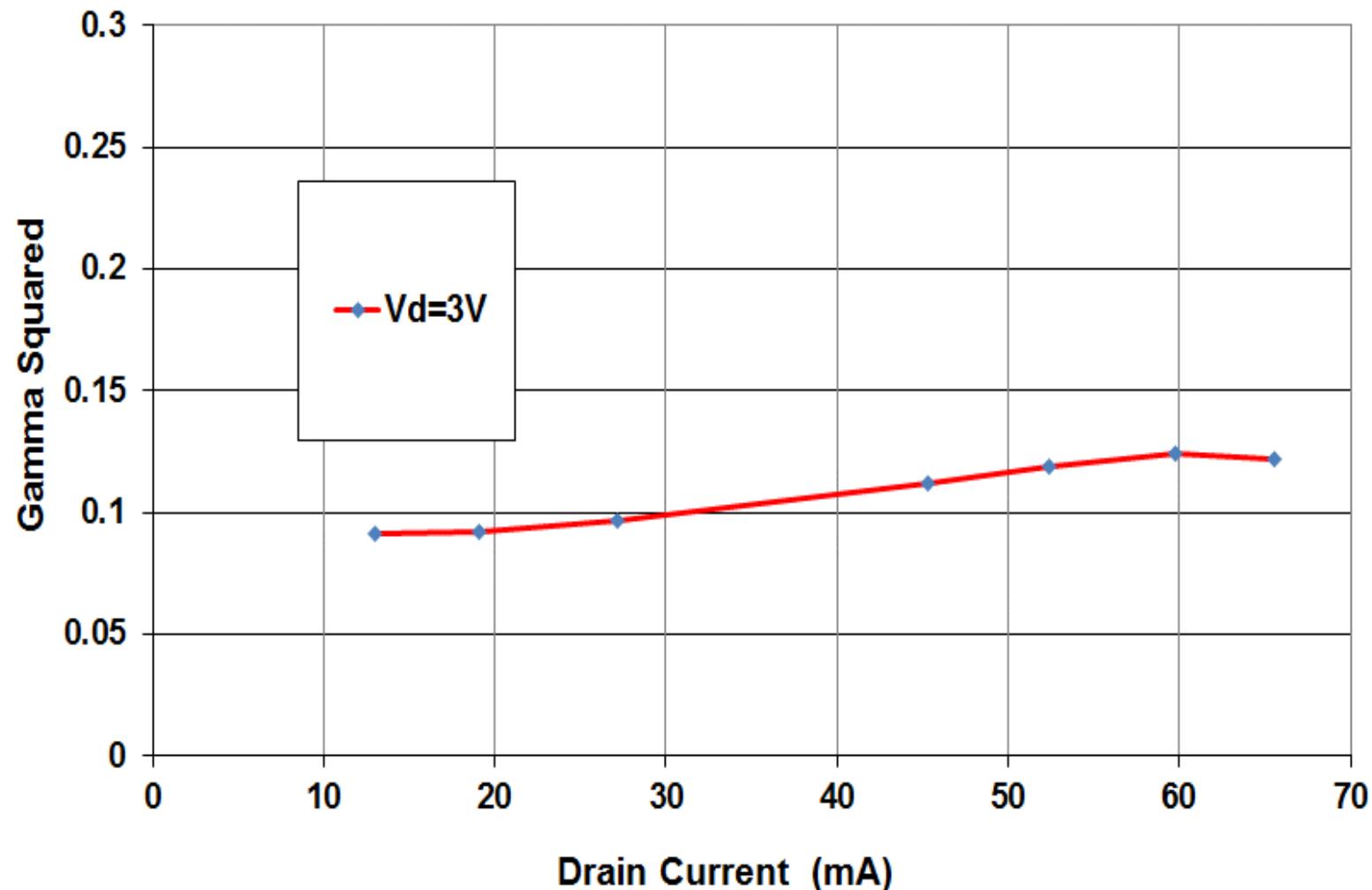


$\Gamma^2$  shot noise suppression factor

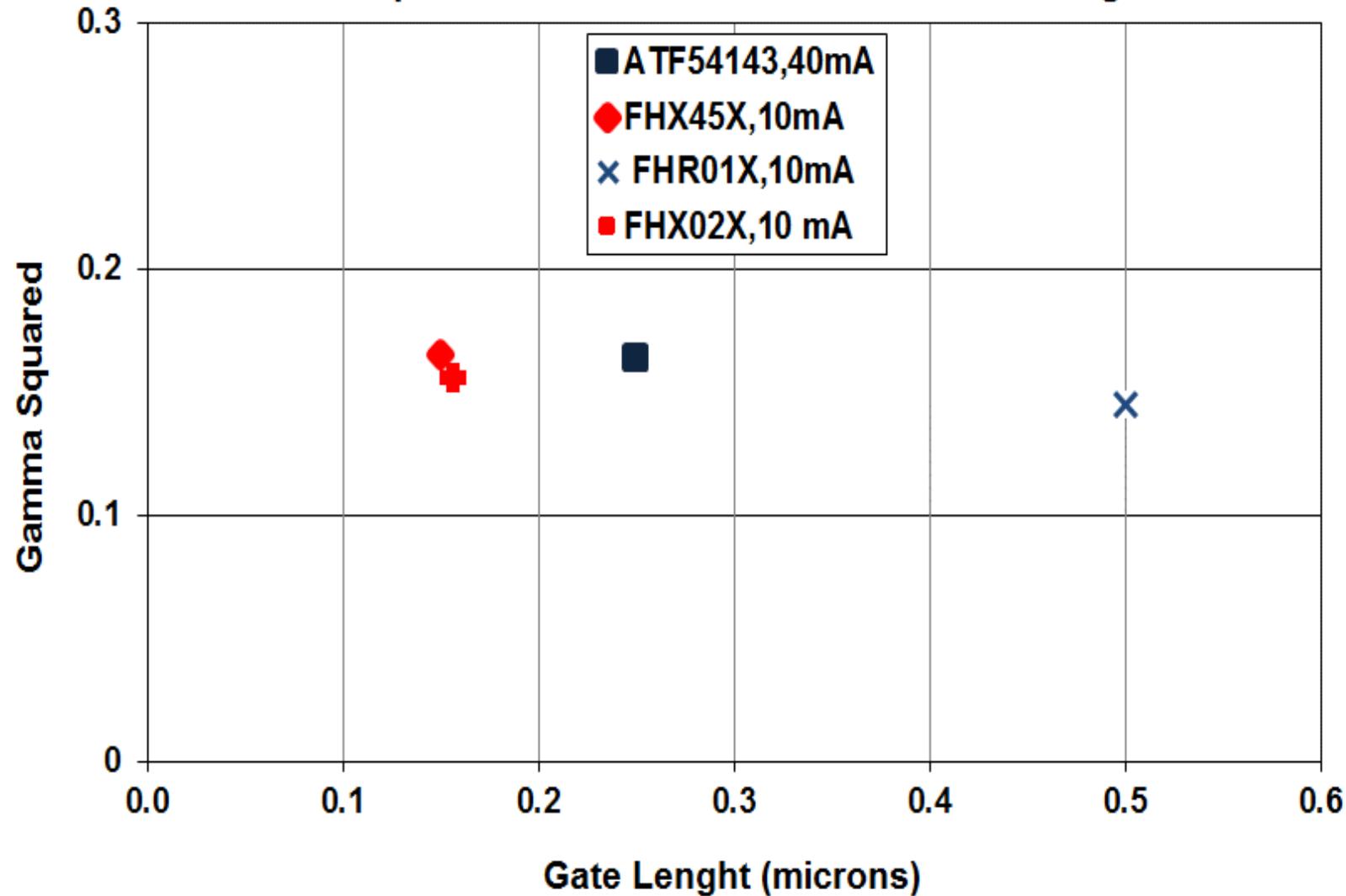
$\Gamma^2$  should:

- be approximately independent of FET bias and its physical temperature.
- for long gates  $\Gamma^2$  should assume a constant value while for short gates it should increase as in the limit for  $L_g \rightarrow 0$ , a pure shot noise should be observed and  $\Gamma^2 \rightarrow 1$ .
- As the average energies of hot electrons in Si, GaAs and InGaAs which form channels of all modern FETs are not that different for electric fields larger than  $10^4$  V/cm (1 eV),  $\Gamma^2$  should be only weakly dependent on a particular semiconductor structure

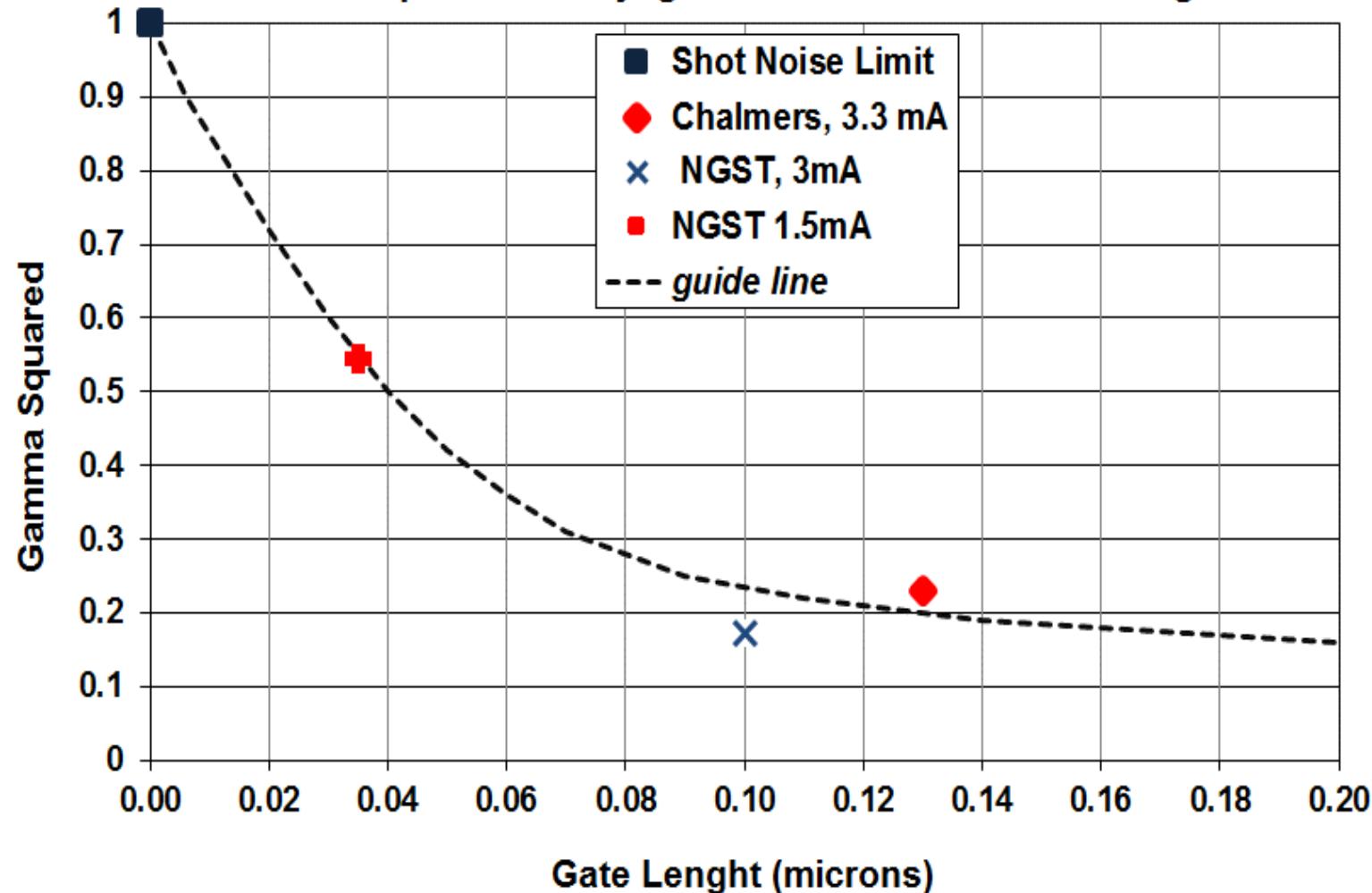
### Gamma Squared for .3 x250 micron GaAa FET vs. Drain Current



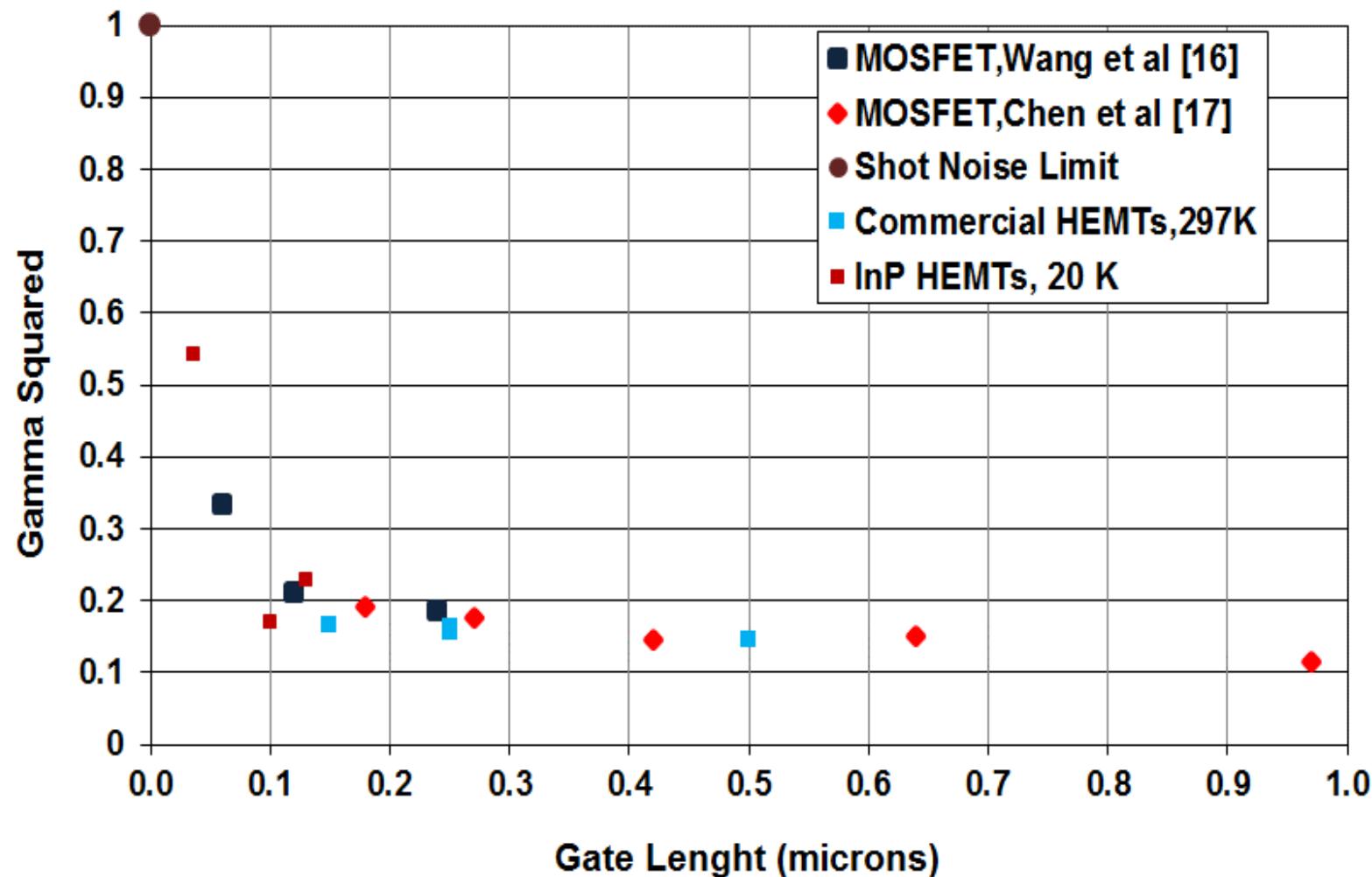
## Gamma Squared for Commercial HEMTs vs. Gate Length at 297 K



### Gamma Squared for Cryogenic InP HEMTs vs. Gate Length



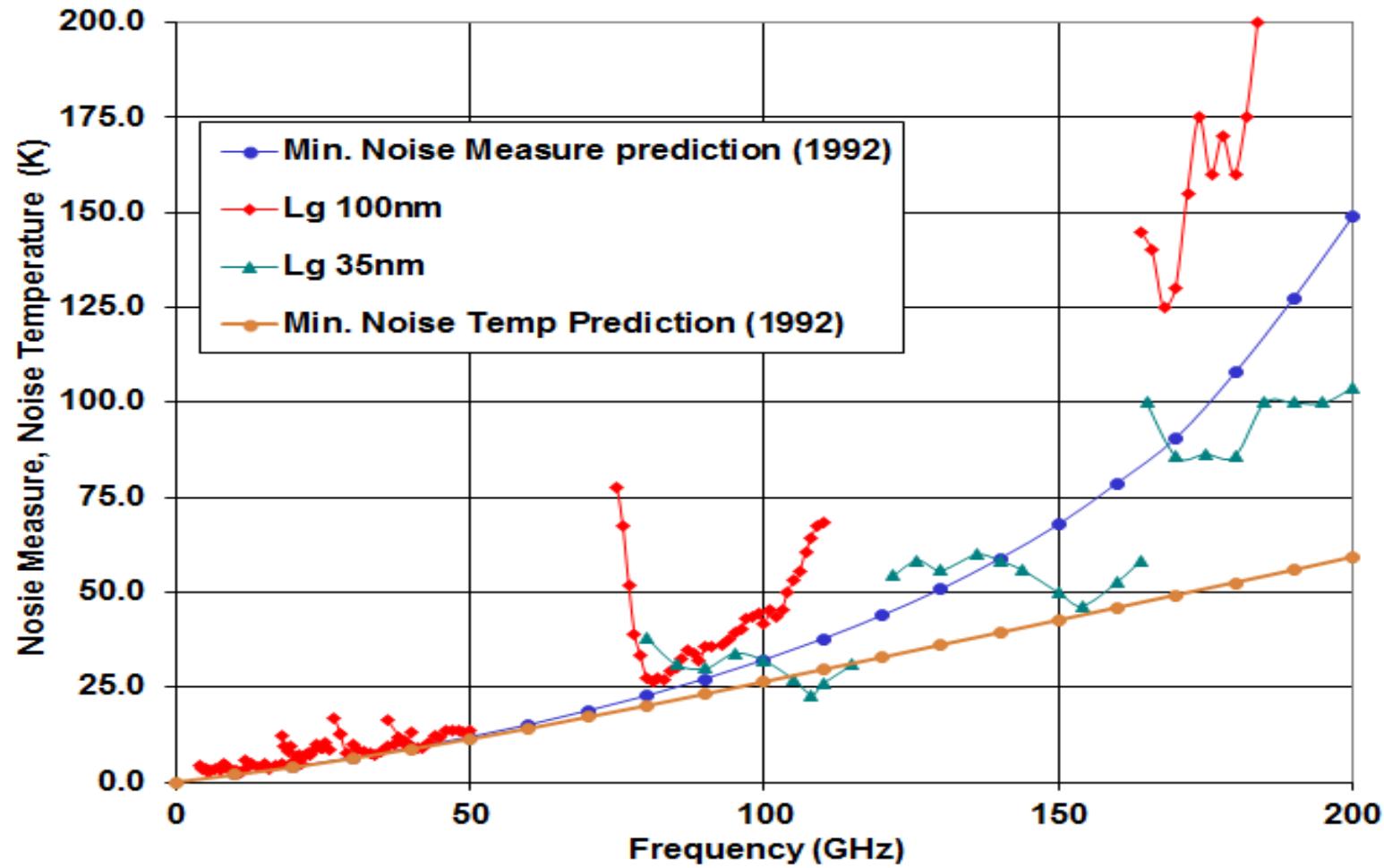
### Gamma Squared for All Technologies vs. Gate Length



# Concluding Remarks

- Only three wafer runs of InP discrete devices (NRAO/HRL, WMAP/HRL, NGST/JPL cryo3) have been used in construction of great majority of radio astronomy instruments: VLA/EVLA, VLBA, GBT, ALMA band6, CBI, SZ-Array, WMAP, Planck LFI ( $K_a$  and Q), VSA, AMI, MPI, JPL/DSN and others.
- MMICs with very competitive noise are now becoming available.
- There has been no significant progress in the low noise performance of cryogenic HFET's in the past 15 years, as we are approaching the limits determined by the principle of operation
- Amplifier noise temperature is no longer the dominant component of the system noise for radio astronomy instruments with cryogenic receivers
- SiGe HBT offer competitive noise temperatures only at low frequencies but offer significantly better 1/f gain variations.

# State-of-the-Art 20...?





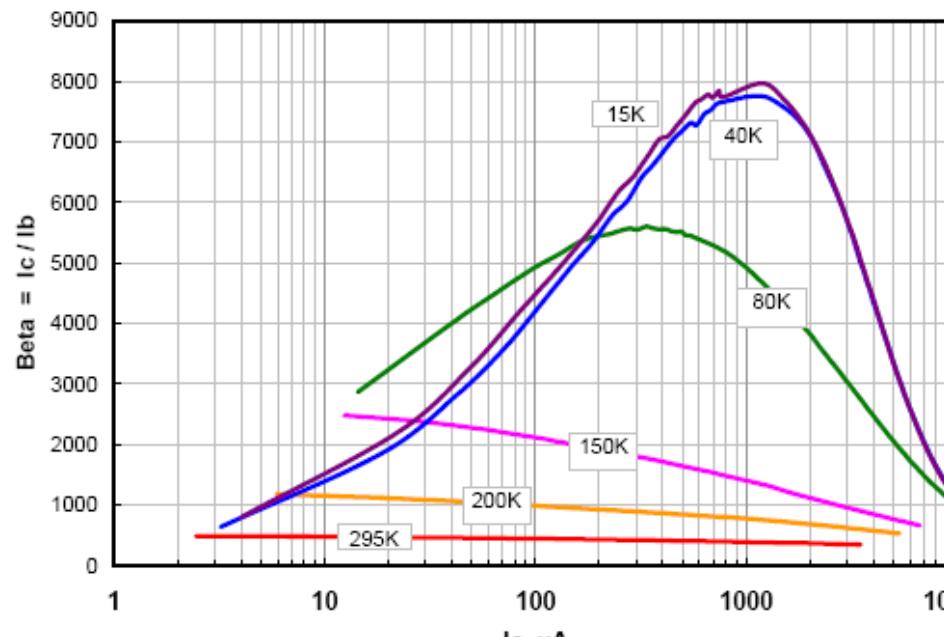
**www.nrao.edu  
science.nrao.edu  
public.nrao.edu**

*The National Radio Astronomy Observatory is a facility of the National Science Foundation  
operated under cooperative agreement by Associated Universities, Inc.*

# SiGe HBT

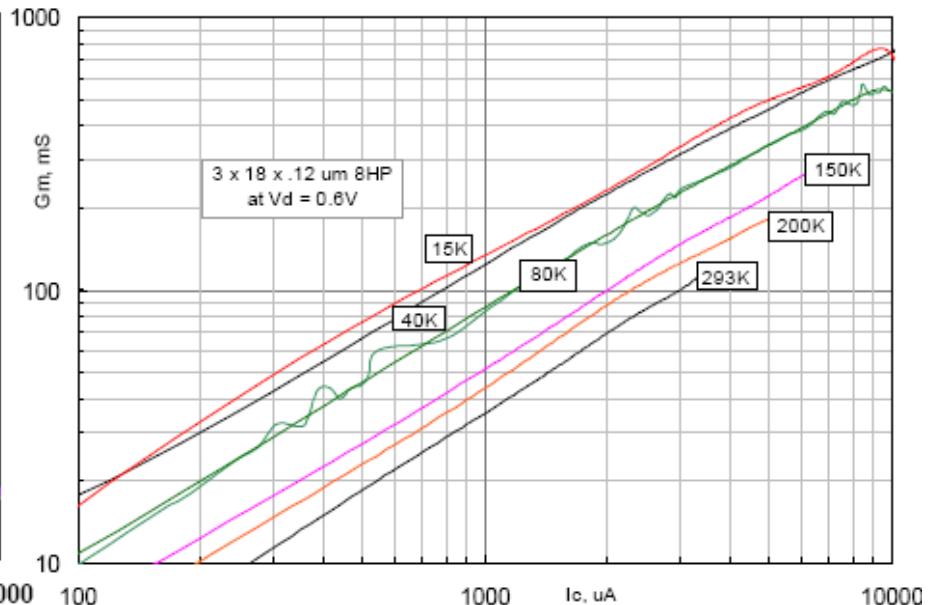
$$f \gg \frac{f_t}{\sqrt{\beta}} \text{ and } \beta \gg 1$$

Beta increases from 300 to 8000!



Courtesy of J.Bardin  
University of Massachusetts

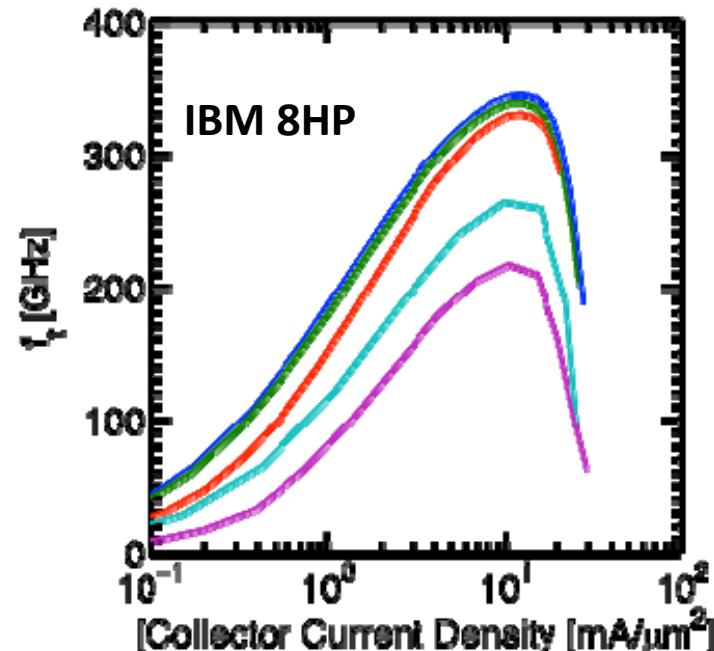
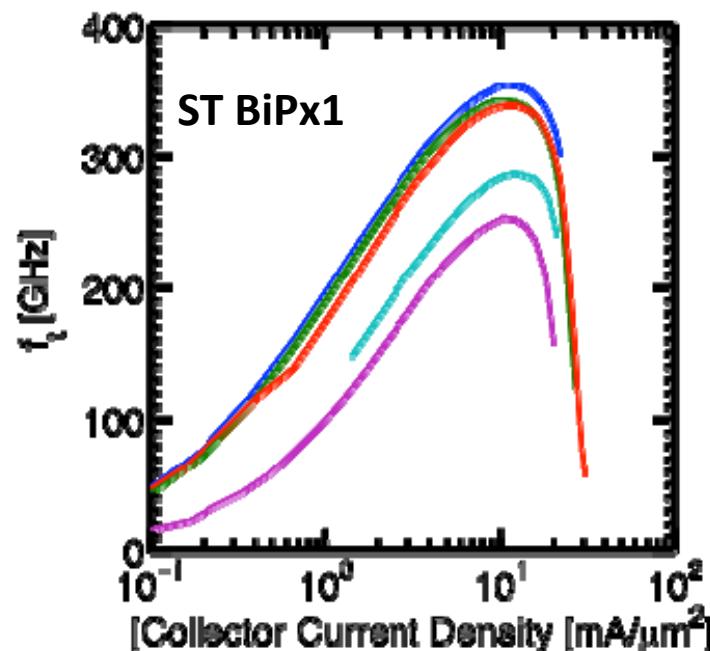
Gm increases by factor of 3!



# Extracted $f_t$ of SiGe HBT

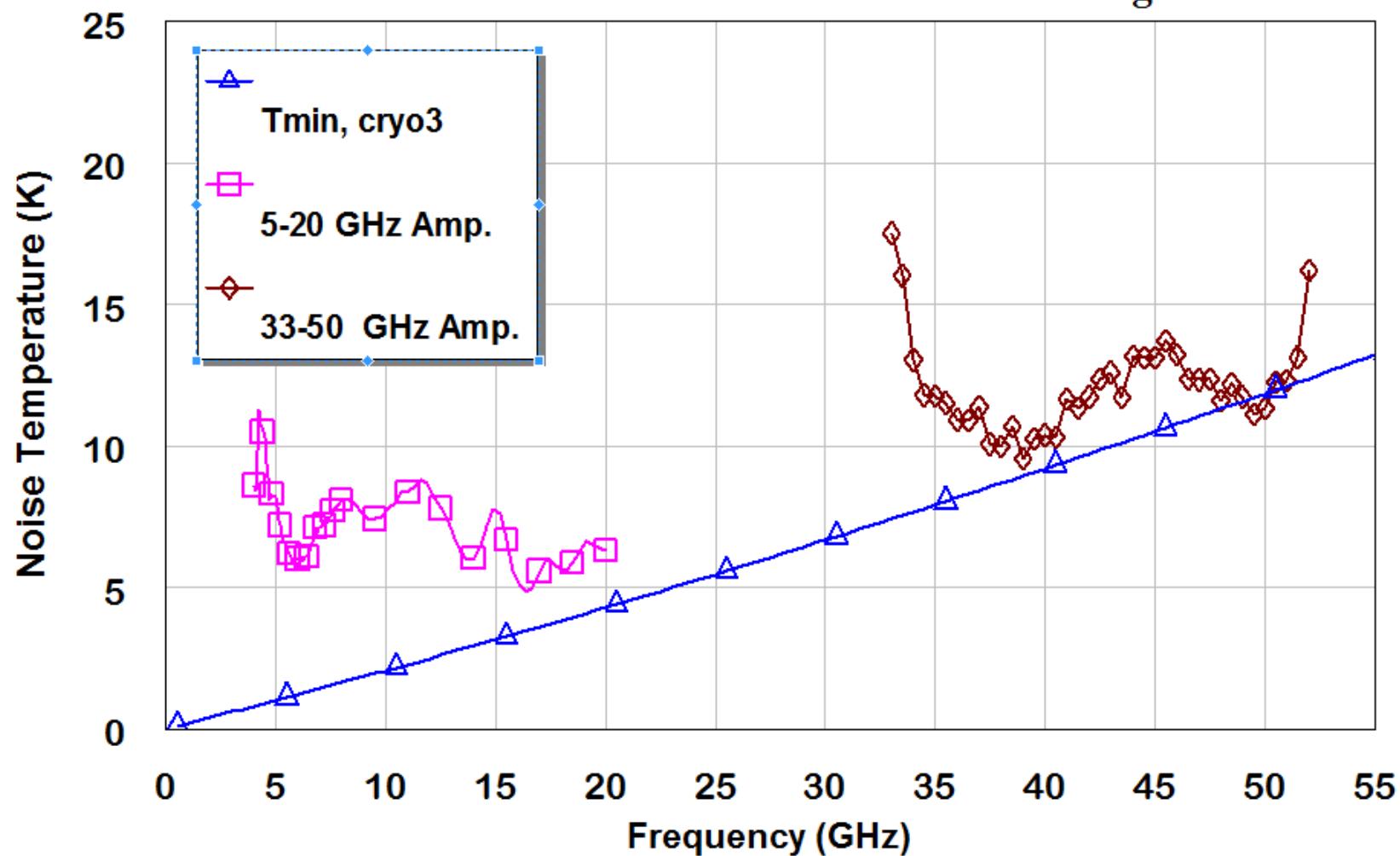
Courtesy of J.Bardin  
University of Massachusetts

Typical peak  $f_t$  increase of 50% observed with cooling to 18 K!



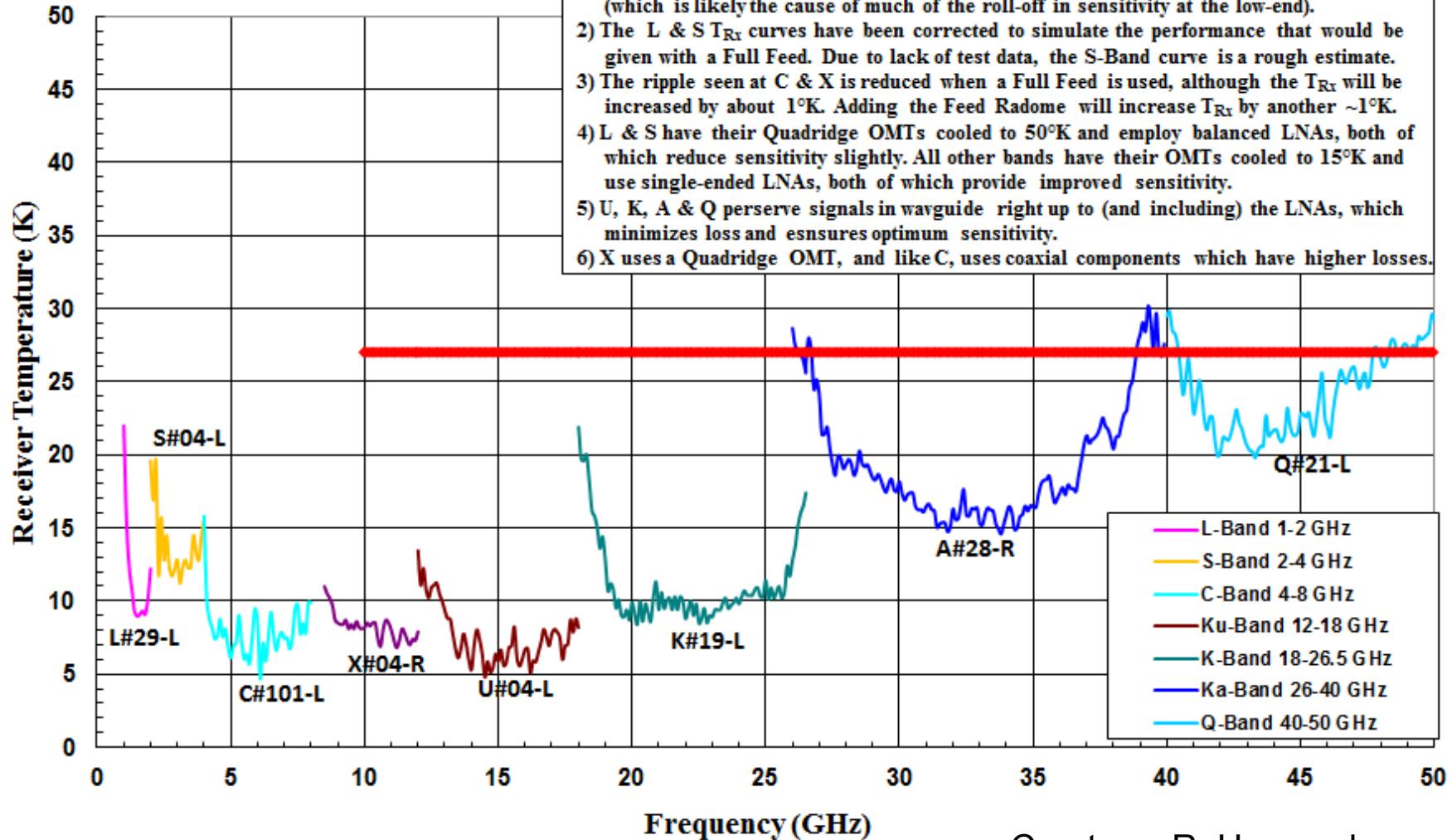
18K 50K 77K 200K 300K

## Illustration of Wide Band Noise Matching



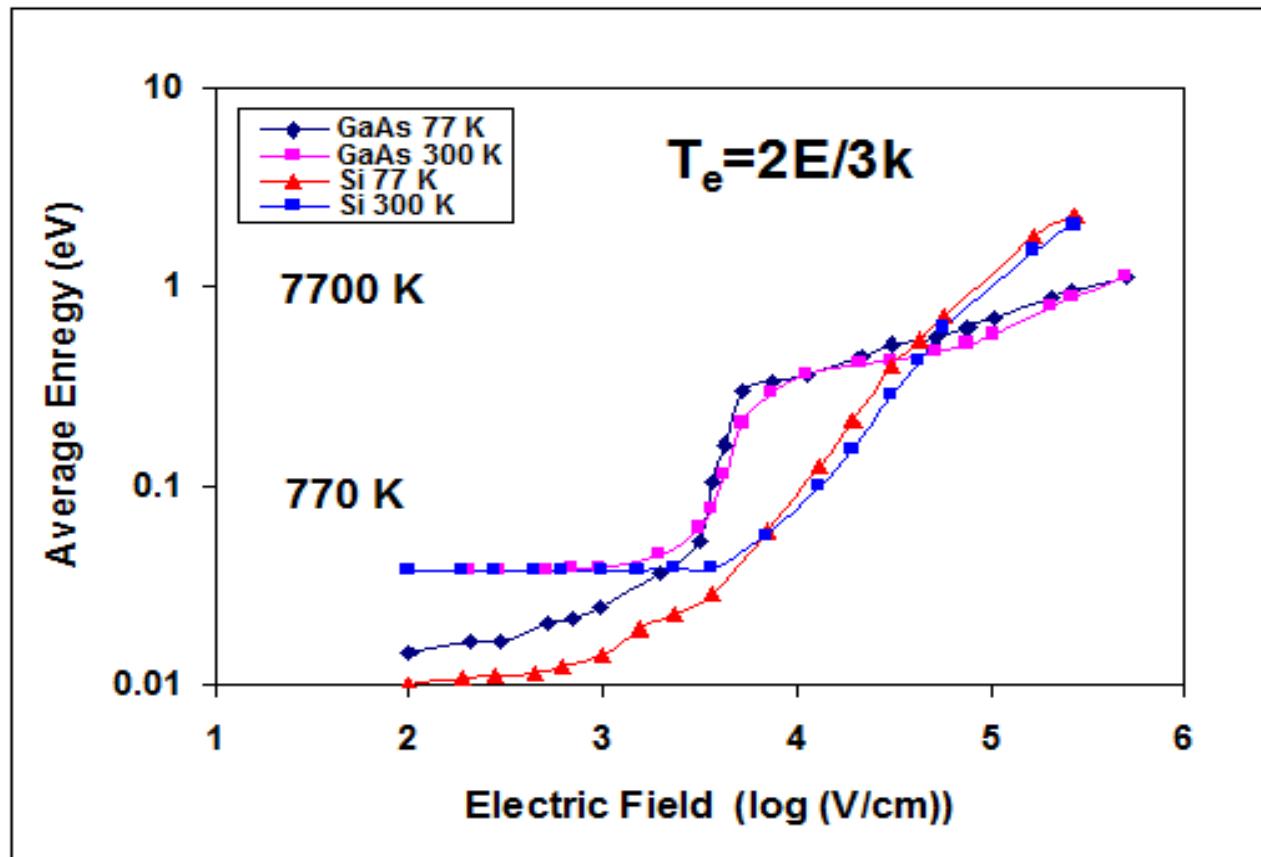
# T(Rx) vs. Frequency for JVLA Receiver Bands

(RHH : 5 June 2012)



Courtesy: R. Hayward

# “Hot electron” noise



After Fischetti, IEEE Trans. ED vol. 38, p.634, March 1991